RL-TR-96-157 Final Technical Report October 1996



## AUTOMATIC SCHEDULING OF OUTAGES OF NUCLEAR POWER PLANTS WITH TIME WINDOWS

**CALSPAN-UB RESEARCH CENTER** 

Dr. Carla Gomes

APPROVED FOR PUBLIC RELEASE; DISTRIBUTION UNLIMITED.

19961125 023

DTIC QUALITY INSPECTED 3

Rome Laboratory Air Force Materiel Command Rome, New York This report has been reviewed by the Rome Laboratory Public Affairs Office (PA) and is releasable to the National Technical Information Service (NTIS). At NTIS it will be releasable to the general public, including foreign nations.

RL-TR-96-157 has been reviewed and is approved for publication.

APPROVED: Karen M. Ugune

KAREN M. ALGUIRE Project Engineer

FOR THE COMMANDER:

JOHN A. GRANIERO

YhullSraniero

**Chief Scientist** 

Command, Control & Communications Directorate

If your address has changed or if you wish to be removed from the Rome Laboratory mailing list, or if the addressee is no longer employed by your organization, please notify RL/C3CA, 525 Brooks Road, Rome, NY 13441-4505. This will assist us in maintaining a current mailing list.

Do not return copies of this report unless contractual obligations or notices on a specific document require that it be returned.

Form Approved OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquerters Services, Directorate for Information Operations and Reports, 1215 Jefferson

Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to	the Office of Management and Budget, Paperwork Redux	ction Project (0704-0188), Washington, DC 20503.
AGENCY USE ONLY (Leave Blank)	2. REPORT DATE	3. REPORT TYPE AND DATES COVERED
	October 1996	Final Jan 95 - Dec 95
4. TITLE AND SUBTITLE AUTOMATIC SCHEDULING OF OUT WITH TIME WINDOWS	AGES OF NUCLEAR POWER PLANTS	5. FUNDING NUMBERS C - F30602-93-D-0075 Task 20 PE - 62702F
6. AUTHOR(S)		PR - 5581
Dr. Carla Gomes		TA - 27 WU - PS
7. PERFORMING ORGANIZATION NAME(S)	AND ADDRESS(ES)	8. PERFORMING ORGANIZATION REPORT NUMBER
CALSPAN-UB RESEARCH CENTER 4455 Genesee Street Buffalo, NY 14225		
9. SPONSORING/MONITORING AGENCY NA Rome Laboratory/C3CA 525 Brooks Road	10. SPONSORING/MONITORING AGENCY REPORT NUMBER	
Rome, NY 13441-4505		RL-TR-96-157
11. SUPPLEMENTARY NOTES		
Rome Laboratory Project Eng	ineer: Karen M. Alguire/C3C	A/(315) 330-4833
12a. DISTRIBUTION/AVAILABILITY STATEMENT		12b. DISTRIBUTION CODE
Approved for Public Release	, Distribution Unlimited.	
13. ABSTRACT (Maximum 200 words)		
into the domain of planning use program. ROMAN (Rome L. developed as a result of th current state-of-the-art of enforce safety constraints tive aspect of ROMAN is the time windows. In other wor intervals as start times to ing the overall duration of combining a global search to specialized representations key aspect for the generations	of outages of nuclear power ab Outage Manager) is the proise project. ROMAN's main into outage management tools is during the planning and sched generation of more robust sods, ROMAN generates a family activities rather than singuishe project. ROMAN uses a factic with constraint propagator the constraints to perform of very fast schedules — of	
	hesis, Formal Methods, Problem	

17. SECURITY CLASSIFICATION OF REPORT UNCLASSIFIED

NSN 7540-01-280-5500

Standard Form 298 (Rev. 2-89) Prescribed by ANSI Std. Z39-18 298-102

20. LIMITATION OF ABSTRACT

16. PRICE CODE

19. SECURITY CLASSIFICATION OF ABSTRACT

UNCLASSIFIED

Specifications, Artificial Intelligence, Planning, Scheduling,

UNCLASSIFIED

18. SECURITY CLASSIFICATION OF THIS PAGE

Constraint Propagation, Constraint Satisfaction

## Contents

1	Introduction	1		
2	Related Work	2		
3	Planning and Scheduling of Nuclear Power Plant Outages			
	3.1 Definition of Problem	4		
	3.1.1 Activities	4		
	3.1.2 Plant Configuration and Risk Management	4		
	3.1.3 Resources	5		
4	Outage Planning and Scheduling in the Real World	6		
	4.1 Time Window Assignment	7		
	4.2 Plant Configuration and Risk Management	7		
	4.3 Resources	8		
5	ROMAN - Rome Lab. Outage Manger	8		
	5.1 Search and Control Mechanisms	10		
	5.1.2 Constraint Propagation	10		
	5.1.3 Interaction Between The Schedule and the State of Plant	15		
6	Performance Results	16		
7	Conclusions and Future Work	18		
8	Acknowledgments	18		
9	References	19		
Αı	ppendix A - Derivation of Constraints from the Separation Constraint	21		
Αı	Appendix B - Derivation of Constraints from the ACP Constraint			

Appendix C - Domain Theory for the Outage Problem	43
Appendix D - Global Search Theories for the Outage Problem	71

#### 1 Introduction

Planning and scheduling tasks are inherently complex. In computational terms, they are *intractable*. *i.e.*. NP-hard or worse. As a practical consequence, realistic size planning and scheduling problems cannot be solved optimally in a "reasonable" amount of time. Nonetheless, solutions have to be found for real-world problems, and therefore heuristic approaches have to be adopted, ideally with some guarantee on the quality of the solution.

This paper focus on the real-world problem of multiple resource-constrained project management. This problem is very common in manufacturing and it is a generalization of the well-known job-shop scheduling problem (Blazewicz et al 83, Vaessens et al 94). As a particular instance of this problem, we consider the management of outages of nuclear power plants. An outage is a planned shutdown for refueling, repair, and maintenance. It is a rather daunting real-world task that may involve from 10,000 up to 45,000 activities. In the domain of nuclear power plants, risk and safety management are sine qua non conditions and therefore a planning and scheduling system (automatic or manual) has to enforce safety constraints guaranteeing that the state of the plant is safe at any time during an outage. The current automatic technology for outage scheduling used by the utilities does not take into consideration safety requirements — currently, safety and risk management still heavily rely on the experience of the manual schedulers, rather than on automatic procedures. Furthermore, in this domain, the existence of good automatic solutions is not only crucial for nuclear safety reasons but also for economic reasons — the cost per day of shutdown is in the order of \$1,000,000.

We report on a successful project for transference of advanced AI technology into the domain of planning of outages of nuclear power plants, a collaboration between Rome Laboratory, the Electric Power Research Institute, Kaman Science, and Kestrel Institute as part of DOD's dual-use program. The software environment selected for this project was KIDS (Kestrel Interactive Development System)[Smith 91], which is a set of semiautomatic tools to transform declarative problem specifications into correct and efficient programs. The main goal of the project was to evaluate the use of transformational approaches and AI technology to solve real-world planning and scheduling problems involving complex constraints.

ROMAN (Rome Lab Outage Manager) is the prototype system that was developed as a result of this project [Gomes & Smith 96]. ROMAN's main innovation compared to the current state of the art of outage management tools is its capability to automatically enforce safety constraints during the planning and scheduling phase. Another innovative aspect of ROMAN is its generation of more robust schedules that are feasible over time windows. In other words, ROMAN generates a family of schedules by assigning time intervals as start times to activities rather than single point start times, without afecting the overall duration of the project.

Roman uses a rich representation for the state of the plant at any time (as in planning approaches) which allows for efficient constraint-based reasoning, in particular, temporal reasoning (as in scheduling). The problem is modeled as a constraint satisfaction problem combining a global search tactic with constraint propagation. The derivation of very specialized representations for the constraints to perform efficient propagation is a key aspect for the generation of very fast schedules — constraints are compiled into the code, which is a novel aspect of our work using an automatic programming system, KIDS. In order to increase schedule robustness our approach entails the generation of families of schedules with the same completion time and that are feasible over time intervals.

In the next section we describe related work. In section 3 we define the outage problem and in section 4 we discuss the current state-of-the-art of outage management for nuclear power plants and its limitations. Section 5 describes ROMAN in detail. Section 7 summarizes the main results achieved with ROMAN.

#### 2 Related Work

Our approach to scheduling uses global search methods as opposed to local search [Gomes & Smith 96]. Local search techniques are based on the idea of improving existing solutions by iteratively making small changes. A local search algorithm defines a walk in which each solution is a neighbor of a previous visited solution. Examples of local search approaches are repair methods (e.g., [Zweben et al 94, Selman & Kautz 93, Minton et al 90]), fix-point iteration [Cai & Paige 89], and linear programming algorithms. Global search methods on the other hand focus on incrementally generating a solution by repeatedly splitting an initial set of solutions into subsets until a feasible or optimal solution can be extracted. Examples of global search methods include backtrack, heuristic search, branch-and-bound. Examples of approaches to scheduling taking a global search perspective are OPIS/DITOPS [Smith 94] Micro-Boss [Sadeh 94].

The main innovation of our approach compared to other AI scheduling approaches is the derivation of very specialized constraints that are compiled into the search and control mechanisms [Gomes & Smith 96]. Other approaches to scheduling use constraint representations and operations that are geared for a broad class of problems, while our approach, a transformational approach, derives specialized representations for constraints allowing fast constraint checking and constraint propagation.

Another novel aspect of our approach is the generation of schedules that are feasible over time windows rather than having single time points as start times. With our approach, we generate an infinite family of schedules that have the same completion time. Existing AI approaches to scheduling with complex state variables only generate a single solution, feasible for single start times, without any guarantees of feasibility over time windows [Gomes & Smith 96].

The framework selected for this project was KIDS (Kestrel Interactive Development System)[Smith 91], which supports users in transforming declarative problem specifications into correct and efficient programs. The transformations provided in KIDS are designed to perform significant and meaningful actions in terms of search efficiency. The various transformations in KIDS include: algorithmic transformations, program optimization techniques and data structures refinement. The algorithmic transformations allow the user to add search and control mechanisms to a given problem specification. Finite differencing is another important transformation provided by KIDS. KIDS uses a form of deductive inference called directed inference to reason about the problem specification in order to automatically apply tactics, derive filters and perform constraint propagation[Smith et al 95].

KIDS has been used to derive a very fast transportation scheduler for the US Transportation Command, KTS (Kestrel Transportation Scheduler) [Smith & Parra 93]. A typical transportation problem with 10,000 movement requirements takes the derived scheduler 1 to 3 minutes to solve, compared with 2.5 hours for a deployed feasibility estimator (JFAST) and 36 hours for deployed schedulers (FLOGEN, ADANS). The computed schedules use relatively few resources and satisfy all specified constraints. The speed of this scheduler was due to the synthesis of strong constraint checking and constraint propagation code [Smith et al 95]. In this paper we show how this approach can be extended to tackle a much richer real-world scheduling task involving complex state variables and time windows.

## 3 Planning and Scheduling of Nuclear Power Plant Outages

The planning and scheduling of the operations involved in the outages of nuclear power plants has a great impact in terms of the outage costs (replacement power, labor cost, etc.), use of scarce resources and implementation of safety procedures. Prior to 1979, before the accident at Three Mile Island (TMI), refueling was the driving factor of outages of nuclear power plants: maintenance plans were governed by the projected duration of refueling activities. After the TMI accident, the focus turned to improving nuclear power plant effectiveness. The duration of an outage was determined not only by refueling activities, but by the work and plant modifications required to make the plant safer and more effective [PSDI 94, Wallace 90]. Throughout the 1980s, backfits and the aging of nuclear power plants has reversed outage scope priorities and methodologies. Often the refueling activities no longer dictate the critical path in an outage.

#### 3.1 Definition of Problem

The problem of planning and scheduling nuclear power plant outages can be stated as follows:

Given a set of outage activities (refueling operations, repairs, modifications, and maintenance activities), a set of resources, and a set of technological constraints, assign times and resources to the activities in such way that the completion of the outage is minimized while safely performing all the activities required by the outage.

#### 3.1.1 Activities

Depending on the planning and scheduling procedures of each particular plant, as well as the scope of the activities performed during the outage, the planning and scheduling of outages for nuclear power plants might involve from 15,000 up to 45,000 activities. During an outage several activities are performed, such as:

- Refueling operations
- Plant betterment
- Preventive maintenance
- Corrective maintenance
- Technical specification requirements for inspections or surveillance.

Relationships between activities that are explicitly defined in work order activities are temporal relationships (e.g., activity A precedes (follows) activity B). Other constraints between activities arise as a result of different requirements in terms of feasible plans and schedules. Requirements regarding feasible plans and schedules are outlined in the next paragraphs.

#### 3.1.2 Plant Configuration and Risk Management

The general principle underlying the outage procedures is that outages should be as short as possible, maintaining the appropriate level of nuclear safety. In other words, the outage should be planned and managed to reduce shutdown risks through the appropriate consideration of defense in depth and preventive measures. The concept of defense in depth, used for the purpose of managing risk during shutdown consists of:

- providing systems, structures and components to ensure backup of key safety functions using redundant, alternate or diverse methods;
- planning and scheduling outage activities in a manner that optimizes safety system availability

Main safety functions and systems components that are monitored to implement the concept of defense in depth are:

- electricity power control system
- primary and secondary containment
- fuel pool cooling system
- inventory control
- reactivity control
- shutdown cooling
- vital support systems

Figure 1 depicts the decision tree regarding safety levels for a simple safety function, electricity power control.

#### 3.1.3 Resources

The main type of resource taken into consideration when planning and scheduling nuclear power plant outages is labor, organized into different skill groups. Other resources that are considered include:

- reactor building crane
- laydown areas
- water purification and storage systems
- radioactive waste system
- specialized equipment

ROAMN does not include resource assignment.

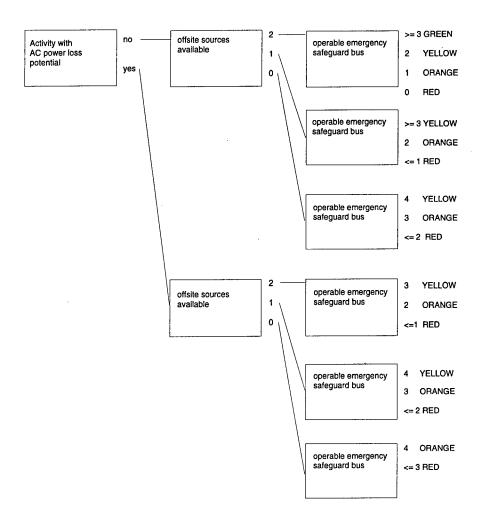


Figure 1: Safety Function - Electricity Power Control

# 4 Outage Planning and Scheduling in the Real World

There are approximately 110 nuclear plants operating in the US. Our knowledge about the way outage planning and scheduling is performed in real world environment only considers the power plants that are members of EPRI, the Electric Power Research Institute. Nevertheless, we consider our sample representative since most of the operating nuclear power plants are members of EPRI, with some notable exceptions like Florida Power and Pacific Gas & Electric.

### 4.1 Time Window Assignment

The current automatic planning and scheduling techniques used by the utilities are very simple - planning and scheduling still heavily relies on the experience of the manual schedulers rather than on automatic procedures. In the late 1970s, utilities began to use the project management techniques to control nuclear refueling outages. Current automatic approaches to outage scheduling mainly consist of the application of automatic project management techniques, such as PERT and CPM techniques. The software currently used by the utilities to perform their outage planning and scheduling tasks are mainly: Primavera Project Planner for Windows (personal computers), Project/2 (mainframes), Project2/X for Windows (personal computers), Prestige (mainframes) and OpenPlan (personal computers).

Some sites use activity based scheduling only. Activities (work orders) and temporal relationships between activities are coded into the software<sup>1</sup> and a PERT/CPM network is generated. The PERT/CPM network can then be manually perturbed to meet resource requirements, safety requirements, and other requirements.

When system windows are used for scheduling, milestones and key events are set up based on experience and the status of key components between the milestones determines the position of the system windows. The activities (work orders) are manually assigned to the system windows where they are allowed to be performed within the scope of the predecessor-successor relationships. PERT/CPM network is generated considering the activities, the pre-defined system windows and the milestones. The PERT/CPM network can then be manually perturbed to meet resource requirements, safety requirements and other requirements.

## 4.2 Plant Configuration and Risk Management

Safety and risk assessment have been by far manual processes which call on the expertise of the personnel involved to make decisions based on published policies and procedures. In order to ensure that the sequence of activities performed during an outage follows the safety requirements, the schedule produced using PERT/CPM software tools is evaluated using a risk assessment methodology. If the schedule does not meet the safety requirements, manual adjustments have to be performed. ORAM (Outage Risk Assessment Methodology) is one of the most popular software tools used to perform the risk assessment of schedules. It simulates the execution of the schedule keeping track of the configuration of the plant at any time and therefore evaluating the risk inherent to a schedule at any time during its execution.

<sup>&</sup>lt;sup>1</sup>Actually, the only type of temporal relationship handled by the current software is the relationship before/after with the possibility of definition of slacks.

#### 4.3 Resources

During automatic generation of schedules resources are assumed to be unlimited. Manual adjustments are performed a posteriori in order to meet the resource requirements.

## 5 ROMAN - Rome Lab. Outage Manager

ROMAN's approach combines a constraint satisfaction paradigm with global search and constraint propagation [Gomes & Smith 96]. ROMAN includes all the technological constraints currently incorporated in the automatic tools used by the utilities for schedule generation. In addition, it includes all the constraints regarding the safety function AC power. Other safety functions could be modeled in a similar way. A top level formal specification of the outage problem including the safety function AC power follows:<sup>2</sup>

```
\begin{array}{l} \textbf{function}: safe\text{-}outage\text{-}windows(activities)\\ returns(schedule \mid \\ Consistent\text{-}Activity\text{-}Separation(schedule) \land \\ Consistent\text{-}AC\text{-}power(schedule) \land \\ All\text{-}activities\text{-}scheduled(activities, schedule)) \end{array}
```

In this formulation activities correspond to the set of activities to be performed. Each activity has a given duration, a set of predecessors, and a set of effects on resources. The schedule is a partial order of activities. Activities in the schedule have time windows assigned to it. A time window defines the earliest start time (est) and latest start time (lst) of an activity, such that the activity can start at any time during the window without increasing the overall duration of the project. Given the duration of the activity, the earliest finish time (eft) and latest finish time (lft) can be calculated. The predicate Consistent-Activity-Separation(schedule) states that all the activities in the schedule satisfy the precedence constraints. The predicate Consistent-ac-power(schedule) states that the schedule verifies the safety constraints, from an AC power point of view. As a completeness condition, the predicate All-activities-scheduled (activities, schedule) states that all the activities have to be scheduled.

The notion of state of the plant is a key concept in enforcing safety constraints. In outage management the state of the plant is measured in colors — green, yellow, orange or red, in this order of increasing risk — and is computed by considering

<sup>&</sup>lt;sup>2</sup>We modeled the AC power safety function as a proof of concept. Other safety functions could be modeled in a similar way.

complex decision trees regarding safety levels as illustrated in Figure 2. For instance, if there is an activity being executed that has the potential to cause AC power loss, then in order for the plant to be in a yellow state it is required to have two off-site AC power sources available and three operable emergency safeguard buses.

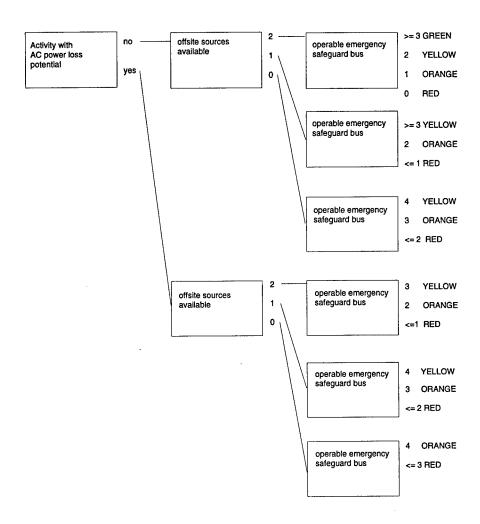


Figure 2: Example of a decision tree for the safety function AC Power

Since the start times of activities are defined over time windows, we introduce two concepts regarding the execution of an activity: the definite period and the potential period of an activity. The definite period of an activity corresponds to the period of time during which the activity is definitely being execute — it is the interval of time between the latest start time of the activity (lst) and its earliest finish time (eft). The potential period of an activity corresponds to the period of time during which the activity may be executed — it is the time period between the earliest start time of the activity (est) and its latest finish time (lft). Figure 3 illustrates the notion of definite period of an activity. Notice that activity A does not have a definite period, since its earliest finish time is before its latest start time.

Figure 3: Notion of a definite period.

In addition, we define two other concepts: definite state of the plant and potential state of the plant. The definite state of the plant is associated with the concept of definite period: it represents the state of the plant for a given safety function (e.g., AC power) assuming that activities are only executed during their definite period. The concept of potential state of the plant is associated with the concept of potential period of an activity: it represents the state of the plant for a given safety function assuming that activities are executed during the whole extension of their potential periods. The potential state of the plant is always "equal" or "greater" than the state of the plant since the definite period of an activity tends to underestimate the duration of activities while the potential period of an activity tends to overestimate the duration of activities. Figure 4 gives an example. Note that during certain time intervals, the definite and potential states of the plant coincide.

#### 5.1 Search and Control Mechanisms

KIDS provides algorithmic transformations that add control and search mechanisms to a given specification. The search tactic selected for the outage problem was *global search* (see next section). Figure 5 summarizes the approach adopted in ROMAN [Gomes & Smith 96].

Initially global search is applied to the formal specification of the outage problem in order to generate a schedule, assuming the definite period of activities. Since the notion of definite period tends to underestimate the duration of the activities, it is very likely for the schedule produced in this initial phase not to be feasible from the point of view of the potential state of the plant. In order to enforce the safety threshold for the potential state of the plant at any time during the outage, "refinement" of the time windows of the initial schedule takes place. In the next section, we describe

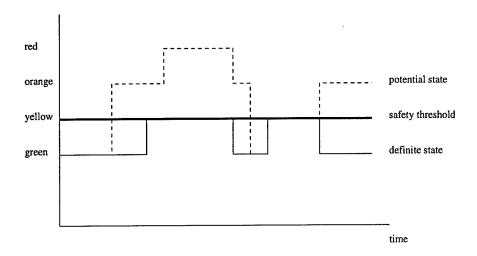


Figure 4: Definite and potential states of the plant.

global search theory.

#### 5.1.1 Global Search Theory

Global search [Smith 87, Smith et al 95] is a backtrack algorithm, a refinement of generate-and-test. The tactic is implemented by finding a space containing all the solutions to the problem that can be divided into nested subspaces. The global search algorithm starts with an initial set that contains all the solutions to the given problem instance, repeatedly extracts solutions, splits sets, and eliminates subsets using propagation, until no sets remain to be split. The process can be described as a tree search in which a node represents a set of candidates, and an arc represents the split relationship between a set and a subset. The principal operations are to extract candidate solutions from a set and to split a set into subsets. The derivation of efficient cutting constraints that eliminate subspaces that do not contain any feasible solution is an important complementary operation in the derivation of the global search tactic.

Figure 6 illustrates the global search theory for the initial scheduling of the activities considering their definite periods. In this global search theory the initial subspace descriptor (partial schedule) is the empty sequence (empty schedule). Splitting corresponds to appending an unscheduled activity, with a given time window, to the partial schedule. Cutting corresponds to propagating the constraints over the time windows of the activities in the partial schedule. Notice that cutting makes the time windows shrink. It can also split a time window as in the case of activity G - due to propagation, activity G's window was split into two. As we can see from figure 6 most of the work in this global search theory is performed by constraint propagation. Splitting corresponds to just selecting the next activity to schedule, using a heuristic

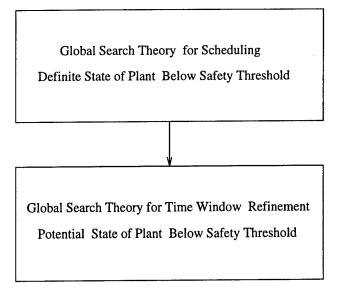


Figure 5: ROMAN's approach

that favors shorter schedules<sup>3</sup>. Extraction takes place when all the activities have been scheduled.

The operator extract corresponds to the second global search algorithm. Refinement of time windows takes place if after applying the initial global search to the outage problem the potential state of plant does not satisfy the safety requirements. In other words, refinement of time windows is required to enforce the safety constraints over the potential period of all the activities in the initial schedule. This is achieved by applying a new global search to the formal specification of the outage considering now with as input the schedule generated in the initial phase. In this second phase the windows of the activities that contribute to the contention periods, *i.e.*, the periods in which the potential state of the plant is above the safety threshold, are systematically reduced until the potential state of the plant becomes consistent from the safety point of view for all the times during the outage. In this global search theory for the refinement phase splitting corresponds to reducing the size of the windows of the activities involved in the contention periods.

#### 5.1.2 Constraint Propagation

One of the important features of our approach is the propagation of constraints. Figure 7 illustrates the concept, where *psched* is a partial schedule, a set of candidate solutions, a node of the global search tree. The following test states that a partial

<sup>&</sup>lt;sup>3</sup>We also define a topological sort of the unscheduled activities according to their levels. An activity has level 0 if it has no predecessors. Activities that only have as predecessors activities of level 0 have level 1. Activities of level 2 only have as predecessors activities that have level 0 or 1, etc.

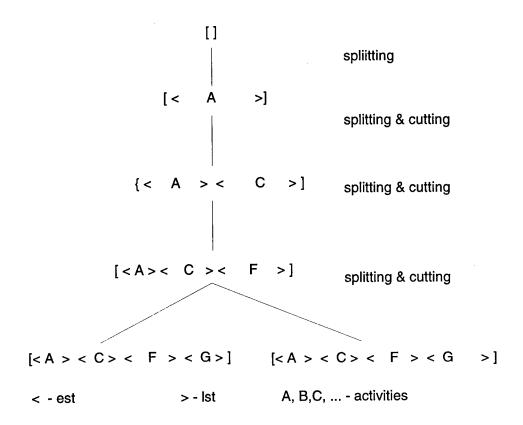


Figure 6: Global search theory for the Outage Problem

schedule can be extended to a complete feasible schedule:4

$$\exists (sched) (sched \in psched \land feasible(sched, activities))$$
 (1)

However, this test is in general too expensive, computationally. Instead, we derive necessary conditions for (1), filters, i.e.:

$$\exists (sched)(sched \in psched \land feasible(sched, activities) \implies \Psi(sched, psched))$$
(2)

The next step consists in incorporating the filter derived in (2) into psched, i.e.:

$$\xi(psched) \iff \forall (sched)(sched \in psched) \implies \Psi(sched, psched))$$
 (3)

The test  $\xi(psched)$  holds when all the candidate solutions in psched satisfy  $\Psi$ . The main issue is, when a given psched does not satisfy  $\xi$ , how can we incorporate  $\xi$  into psched? The answer is to find the greatest refinement of psched, psched, that satisfies  $\xi$ .

<sup>&</sup>lt;sup>4</sup>In the particular case of the outage problem,  $(sched \in psched) \iff (domain(psched) \subseteq domain(sched) \land \forall (i)i \in domain(psched)) \implies psched(i).est \leq sched(i).st \leq psched(i).lst)$  and  $feasible(sched, activities) \iff (consistent-separation(sched) \land consistent-acp(sched)) \land all-activities-scheduled(activities, sched))$ 

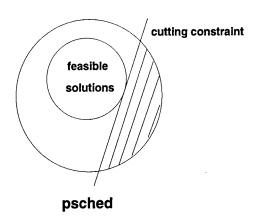


Figure 7: Cutting Constraints

$$\widehat{psched} = \max_{\exists} \{qsched \mid psched \supseteq qsched \land \xi(x, qsched)\}$$
 (4)

which asserts that psched, is maximal over the set of descriptors that refine psched and satisfy  $\xi$ , with respect to ordering  $\square$ . We want psched to be a refinement of psched so that all of the information in psched is preserved and we want psched to be maximal so that no other information than psched and  $\xi$  is incorporated into psched. The refinement relation  $psched_i$   $\square$   $psched_i$  holds when the completions of  $psched_i$  are a subset of the completions of  $psched_i$ .

KIDS instantiates a program scheme for global search with constraint propagation, incorporating  $\xi$ . For more detail on propagation in KIDS see [Smith *et al* 95]. The challenge in order to take advantage of the propagation mechanisms provided in KIDS lies in finding  $\xi$  - even though KIDS provides a tactic to synthesize propagation code incorporating  $\xi$ , the derivation of  $\xi$  using the system relies on lemmas supplied by the user which are derived manually.

In the case of the outage problem, the predicate Consistent-Activity-Separation (schedule) states that all the activities in the schedule satisfy the precedence constraints. The derivation of cutting constraints from the constraint Consistent-Activity-Separation using formulas (2) and (3) leads to the well known constraints on est and lst, as used in PERT. Appendix A has the formal derivation of constraints from the constraint Consistent-Activity-Separation.

The derivation of cutting constraints for *Consistent-ACP* is less straightforward. Appendix B has the formal derivation of constraints from the constraint *Consistent-Activity-ACP*. An example of a constraint manually inferred from *Consistent-ACP* applying formulas (2) and (3) follows:

$$\forall (i, t1, t2, act)$$
  
 $i \in domain(se(psched)) \land t1 = se(psched)(i).time \land t2 = se(psched)(i+1).time$ 

```
act \in domain(psched) \land sacpl?(t1, psched) \land unav-sources(t1, psched) = TSACPL \land affects-avail-acps?(act, psched) \implies psched(act).lft < t1 \lor psched(act).est \ge t2
```

Where se(psched) computes the state events of the partial schedule considering the definite periods of activities. A state event corresponds to any event that affects the state of the plant. The time of the *ith* state event of the partial schedule is represented by se(psched)(i).time, the predicate sacpl?(t, sched) tests if at time t the plant is in a state of AC power loss, unav-sources(t, psched) = TSACPL tests if at time t the number of unavailable AC power resources equals the threshold for AC power resource unavailability for a state of AC power loss, affects-avail-acpsi(act, psched) tests if the activity act affects an available AC power resource, and psched(act).lft and psched(act).est correspond respectively to the latest finish time and earliest start time of the activity act of the partial schedule psched. This constraint triggers propagation for the activities that affect available AC power resources — propagation eliminates from the activities' time windows the periods that overlap the intervals that correspond to a state of AC power loss with number of unavailable AC power resources equal to TSACPL (the threshold). In other words, a new activity that affects available AC power resources cannot occur during a period for which the plant is operating at the threshold regarding the AC power safety function.

#### 5.1.3 Interaction Between The Schedule and the State of Plant

A main principle embodied in our approach is incremental computation - propagation illustrates that concept - whenever a new activity is scheduled, all constraints are immediately propagated over the schedule. Finite differencing is another transformation that allows for incremental computation, by efficiently maintaining the state of plant. Roughly, the idea behind finite differencing is to incrementally evaluate an expensive expression in a loop, rather than recomputing it from scratch each time. As an example, let us assume that function f(x) calls function g(x) and that x changes in a regular way. In this case, it might be worthwhile to create a new variable, whose value is maintained and which allows for incremental computation. By abstracting function f with respect to expression g(x) a new parameter c is added to f's parameter list (now f(x,c)) and c=q(x) is added as a new input invariant to f. Any call to f, whether a recursive call within f or an external call, must now be changed to supply the appropriate new argument that satisfies the invariant - f(x) is changed to f(x,g(x)). In this process all occurrences of g(x) are replaced by c. Often, distributive laws<sup>5</sup> apply to g(h(x)) yielding an expression of the form h'(g(x)) and so h'(c).

<sup>&</sup>lt;sup>5</sup>Laws are assertions that define axioms or theorems, i.e., statements that are always true. An assertion is simply a true statement - an example of a law is (A + B) \* C = (A \* C) + (B \* C), or  $(A \text{ and } B \rightarrow A)$ . The idea is to provide information on how to distribute predicates and functions over the main constructors of the variable that changes in a regular way, exactly in the same way

The real benefit in the optimization comes from the last step, because this is where the new value of the expression g(h(x)) is computed in terms of the old value of g(x).

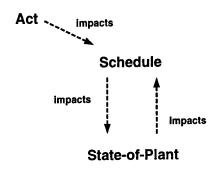


Figure 8: Interaction between the schedule and the state

In the outage problem there are several opportunities for finite differencing since the state of the plant is a function of the schedule represented by the constraint consistent-acp(schedule). Figure 8 shows the interactions between the state of plant and the schedule - when a new activity is scheduled, it impacts the schedule and propagation is triggered. Changes in the schedule impact the state of the plant, which is incrementally maintained by finite differencing. Changes in the state impact the schedule and propagation is triggered, which impacts the schedule and so on. The key issue to take advantage of finite differencing is to provide good laws on how to distribute the functions to be finite differenced over the main constructors of the partial schedule, e.g., over appending an activity to the schedule, increasing the est of an activity, etc.

Appendix A and appendix B contain the formal derivation of cutting constraints from the separation constraint and from the ACP constraint, respectively. Appendix C contains the domain theory for the outage problem considering the separation constraints and the constraints for ACP. Appendix D contains the global search theory for scheduling considering the definite period of activities as well as the global search theory for time window refinement that takes into consideration the potential period of activities.

## 6 Performance Results

The current version of ROMAN was completed in November 1995, and it has been demonstrated to several large nuclear power plants such as American Electric Power Service, Baltimore Gas & Electric, PECO Energy, etc. The demonstration was successful, and EPRI, a consortium of more than 90% of the utilities in the US, is looking

one would write a law about how to distribute multiplication over addition. Additionally, laws also specify special cases, for instance when dealing with base cases (e.g., empty sequences).

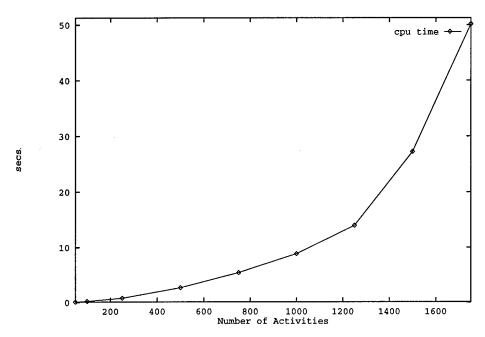


Figure 9: Time performance

into using the approach embodied in ROMAN to build the next generation of outage scheduling tools — referred to as Advanced Technology Outage Scheduler.

ROMAN has proven successful since it clearly extends the current functionality offered by existing software tools for outage management. All the technological constraints currently used for automatic schedule generation are incorporated into the system. In addition, ROMAN produces schedules enforcing safety constraints — AC power was used as a proof of concept.

The current version of ROMAN schedules up to 2,000 activities in approximately 1 minute on a Sparc 2 (see figure 9). The schedules produced by ROMAN are often better than the current solutions since many new possibilities are explored compared to manual solutions. Human schedulers tend to aggregate tasks and schedule them as blocks rather than exploring interesting possibilities that occur when the activities are scheduled separately.

A key feature of ROMAN that utility personnel find attractive is the robust schedules that are generated. The current scheduler generates a schedule that includes start time windows for each task. Choosing any start time within the window for a task still permits feasible execution of the schedule. The window provides information about how critical the start time for a task is – if a predecessor task is delayed, a user can decide whether there still enough freedom in the start time window to allow on-time completion, or whether it is time to reschedule parts of the overall operation.

ROMAN currently comes configured with a GUI that displays an interactive Gantt chart for tasks, showing their start time window, duration, task description, and

predecessors. Another Gantt chart shows the history of the state of the plant with respect to AC power.

#### 7 Conclusions and Future Work

ROMAN has successfully demonstrated that outage schedules that satisfy safety constraints can be automatically generated [Gomes & Smith 96]. To develop ROMAN into a practical tool requires (1) handling a richer model of the outage domain, and (2) faster code. To date we have focused on one particular safety function dealing with maintaining adequate sources of AC power. Future work is planned to deal with larger and more realistic problems, as well as with other critical safety constraints and scheduling scarce resources such as heavy lifts and skilled personnel. Furthermore, we plan to experiment other search strategies, in particular local search strategies. A more ambitious goal involves the automatic generation of schedules considering different levels of risk.

## 8 Acknowledgments

I would like to thank several people who contributed to the success of ROMAN. Lou Hoebel for creating the conditions and putting together the resources for this project. Karen Alguire for her contribution to this project, in particular helping with the programming. Doug Smith for his contribution to the design of the domain theory for the outage problem in order to take advantage of KIDS [Gomes & Smith 96]. Stephen Westfold for extending the constraint propagation code generator in KIDS to encompass the new class of propagation constraints that arose from the safety constraints. Eduardo Parra for adapting the CLIM interface of KTS to the needs of outage scheduling, resulting in the current ROMAN interface. Jeff Mitman, Dick Wood, and Shyam Kamadolli were instrumental in providing data and information about outage management.

## 9 References

[Blazewicz et al 83] J. Blazewicz, J. Lenstra, and A. Rinnoov Kan. Scheduling Projects to Resource Constriants: Classification and Complexity. Discrete Appl. Math., 5:11-24, 1983. [Cai & Paige 89] J. Cai and R. Paige. Program Derivation by Fixed Point Computation. Science of Computer Programming, 11:197-261, 1989. [Gomes & Smith 96] Carla P. Gomes and Doug R. Smith. Synthesis of Power Plant Outage Schedulers. Tech. Rep., Kestrel Institute, 1996. S. Minton, D. Johnson, A. Philips, and P. Laird. Solving Large-[Minton et al 90] scale constrint satisfaction and scheduling problems using a heuristic repair method. In Proceedings of the Eigth National Conference on Artificial Intelligence, pages 290-295, 1990. [PSDI 94] PSDI. Managing Outages on the Desktop. Technical Brochure, 1994. [Sadeh 94] Norman Sadeh. Micro-Opportunistic Scheduling: The Micro-Boss Factory Scheduler. In M. Fox and M. Zweben, editors, Intelligent Scheduling. Morgan Kaufmann, 1994. [Selman & Kautz 93] Bart Selman and Henry Kautz. Local Search Strategies for Satisfiability Testing. In Proceedings of DIMACS Workshop on Maximum Clique, Graph Coloring, and Satisfiability, 1993. Doug Smith and Eduardo Parra. Transfornational Approach [Smith & Parra 93] To Transportation Scheduling. In Proceedings of the Eight Knowledge-Based Software Engineering Conference, Chicago, Illinois, 1993. [Smith 87] Douglas R. Smith. Structure and Design of Global Search Algorithms. Technical Report KES.U.87.11, Kestrel Institute, 1987. [Smith 91] Douglas R. Smith. KIDS: A Knowledge-based Software Development System. In M. Lowry and R. McCartney, editors, Automating Software Design, pages 483-514. MIT Press, 1991. [Smith 94] Stephen F. Smith. OPIS: A Methodology and Architecture for Reactive Scheduling. In M. Fox and M. Zweben, editors, Intelligent Scheduling. Morgan Kaufmann, 1994. [Smith et al 95] Doug Smith, Eduardo Parra, and Stephen Westfold. Synthesis of High Performance Transportation Schedulers. Technical

Report Tech. Rep. KES.U.95.1, Kestrel Institute, 1995.

[Vaessens et al 94]

R. J. M. Vaessens, E. H. L. Aarts, and J. K. Lenstra. Job Shop Scheduling by Local Search. Memorandum COSR 94-05, Eindhoven University of Technology, Department of Mathematics and Computing Science, 1994.

[Wallace 90]

Ronal C. Wallace. A History of the Project Management Applications in the Utility Industry. *Project Management Journal*, September 1990.

[Zweben et al 94]

Monte Zweben, Brian Daun, Eugene Davis, and Michael Deale. Scheduling and Rescheduling with Iterative Repair. In M. Fox and M. Zweben, editors, *Intelligent Scheduling*. Morgan Kaufmann, 1994.

## Appendix A - Derivation of Constraints from the Separation Constraint

## Derivation of $\xi(x,\hat{r})$

The derivation of *cutting constraints* involves two steps.

The first step consists of deriving necessary conditions for the feasibility of a solution z contained in a space descriptor  $\hat{r}$ , i.e.:

$$\forall (x:D,\hat{r}:\hat{R},\,z:R)(Satisfies(z,\hat{r})\,\wedge\,O(x,z)\,\Longrightarrow\,\Psi(x,z,\hat{r})) \tag{5}$$

The second step consists of making sure that the space descriptors (themselves) satisfy the necessary conditions for containing feasible solutions, i.e.:

$$\xi(x,\hat{r}) \iff \forall (z:R)(Satisfies(z,\hat{r}) \implies \Psi(x,z,\hat{r}))$$
 (6)

In the following section we describe the derivation of  $\xi(x,\hat{r})$  for the safety constraint designated by Consistent-Separation.

#### Satisfies and Outuput Condition for the Outage Problem

Conventions:

- psched partial schedule
- sched schedule

**Def**: Satisfies(sched, psched)

$$domain(psched) \subseteq domain(sched) \land \\ \forall (i) \\ i \in domain(psched)) \\ \implies psched(i).est \leq sched(i).st \leq psched(i).lst$$

 $\mathbf{Def}: O(acts, psched)$ 

consistent-separation(sched)  $\land$ 

```
consistent-acp(sched)) \land \\ all-activities-scheduled(acts, sched)
```

#### Derivation of Cutting Constraint for Separation

Given the following definition for a separation constraint:

 $\mathbf{Def}: consistent\text{-}separation(sched)$ 

$$\begin{array}{l} \forall (i,j) \\ i \in domain(sched) \ \land \ j \in domain(sched(i).pred) \\ \Longrightarrow \ sched(i).st \geq sched(i).pred(j).st + sched(i).pred(j).duration \end{array}$$

Since:

$$(A \Longrightarrow B) \land (B \Longrightarrow B')$$

$$\Longrightarrow$$

$$(A \Longrightarrow B')$$

And by definition of Sat:

$$sched(i).st \ge sched(j).st + sched(j).duration$$
  
 $\implies psched(i).lst \ge sched(i).pred(j).st +$   
 $sched(i).pred(j).duration$ 

And since:

sched(i).pred(j).duration = psched(i).pred(j).durationAnd Assuming:

$$sched(i).pred(j) = sched(j)$$
  
 $psched(i).pred(j) = psched(j)$   
 $sched(i).pred(j).duration = sched(j).duration$   
 $psched(i).pred(j).duration = psched(j).duration$ 

$$\begin{aligned} \forall (i,j) \\ i \in domain(psched) \ \land \ j \in domain(psched(i).pred) \\ &\implies psched(i).lst \geq sched(j)st + psched(i).duration \end{aligned}$$

Which corresponds to a cutting constraint, derived from consistent-separation(sched)).6

<sup>&</sup>lt;sup>6</sup>As we will show below, another *cutting constraint* can be derived from *consistent-separation(sched)*.

Instantiation of  $\xi$  for the cutting constraint for consistent-separation(sched)).

$$\xi(x,\hat{r}) \iff \forall (z:R)(Satisfies(z,\hat{r}) \implies \Psi(x,z,\hat{r}))$$
 (7)

$$(Satisfies(z,\hat{r}) \land O(x,z))$$
 (8)

In the outage problem, the expressions for  $Satisfies(z,\hat{r})$  and  $\Psi(x,z,\hat{r})$  (for the consistent-separation) are:

**Def**: Satisfies(sched, psched)

```
\begin{aligned} &domain(psched) \subseteq domain(sched) \land \\ &\forall (i) \\ &i \in domain(psched)) \\ &\implies psched(i).est \leq sched(i).st \leq psched(i).lst \end{aligned}
```

 $\mathbf{Def}: \psi(acts, sched, psched) \text{ (for the } consistent-acp)$ 

```
 \forall (i,j) \\ i \in domain(psched) \land j \in domain(psched(i).pred) \\ \implies psched(i).lst \ge sched(j).st + psched(j).duration
```

Combining both we can instantiate  $\xi(act, psched)$  i.e.,

$$\xi(act, psched) \iff \forall (sched)(Satisfies(sched, psched)) \implies \Psi(act, sched, psched))$$
(9)

 $\mathbf{Def}: \xi(act, psched)$ 

```
 \forall (sched) \\ domain(psched) \subseteq domain(sched) \land \\ \forall (i) \\ i \in domain(psched)) \\ \Longrightarrow psched(i).est \leq sched(i).st \leq psched(i).lst \\ \Longrightarrow \forall (k,j) \\ k \in domain(psched) \land j \in domain(psched(k).pred) \\ \Longrightarrow psched(k).lst \geq sched(j).st + psched(j).duration
```

Since: 
$$A \wedge B \Longrightarrow C$$
  
 $\Longleftrightarrow$   
 $A \Longrightarrow B \Longrightarrow C$ 

```
 \forall (sched) \\ domain(psched) \subseteq domain(sched) \\ \Longrightarrow \forall (i) \\ i \in domain(psched)) \\ \Longrightarrow psched(i).est \leq sched(i).st \leq psched(i).lst \\ \Longrightarrow \forall (k,j) \\ k \in domain(psched) \ \land \ j \in domain(psched(k).pred) \\ \Longrightarrow psched(k).lst \geq sched(j).st + psched(j).duration
```

Since:  $j \in domain(psched(i).pred) \subseteq domain(psched)$ 

$$\forall (sched) \\ domain(psched) \subseteq domain(sched) \\ \Longrightarrow \forall (i) \\ i \in domain(psched)) \\ \Longrightarrow psched(i).est \leq sched(i).st \leq psched(i).lst \\ \Longrightarrow \forall (k,j) \\ k \in domain(psched) \land j \in domain(psched) \land j \in domain(psched(k).pred) \\ \Longrightarrow psched(k).lst \geq sched(j).st + psched(j).duration$$

Since: 
$$A \wedge B \Longrightarrow C$$
  
 $\Longleftrightarrow$   
 $A \Longrightarrow B \Longrightarrow C$ 

 $orall (sched) \ domain(psched) \subseteq domain(sched) \ \Longrightarrow \ orall (i) \ i \in domain(psched))$ 

 $\Leftrightarrow$ 

 $\Leftrightarrow$ 

```
\implies psched(i).est \leq sched(i).st \leq psched(i).lst
         \implies \forall (j)
        j \in domain(psched)
              \implies \forall (k)
             k \in domain(psched) \land \land j \in domain(psched(k).pred)
         \implies psched(k).lst \ge sched(j).st + psched(j).duration
                                  Since: \forall (K)K \in S \implies P(K)
                                            \forall (K)K \in S \land T(K) \implies Q(K)
                                            \forall (K)K \in S \implies P(K) \implies Q(K)
\forall (sched)
domain(psched) \subseteq domain(sched)
\implies \forall (i)
    i \in domain(psched)
     \implies psched(i).est \leq sched(i).st \leq psched(i).lst
          \implies \forall (k)
             k \in domain(psched) \land \land i \in domain(psched(k).pred)
          \implies psched(k).lst \ge sched(i).st + psched(i).duration
\forall (sched)
domain(psched) \subseteq domain(sched)
\implies \forall (i)
    i \in domain(psched))
     \implies psched(i).est \leq sched(i).st \leq psched(i).lst
          \implies \forall (k)
             k \in domain(psched) \land \land i \in domain(psched(k).pred)
          \implies psched(k).lst \ge sched(i).st + psched(i).duration
```

 $\Leftrightarrow$ 

Since: 
$$A \Longrightarrow B \Longrightarrow C$$
  $\iff$ 

$$A \wedge B \implies C$$

 $\Leftrightarrow$  $\forall (sched)$  $domain(psched) \subseteq domain(sched)$  $\implies \forall (i)$  $i \in domain(psched))$  $\implies psched(i).est \leq sched(i).st \leq psched(i).lst$  $\wedge \forall (k)$  $k \in domain(psched) \land \land i \in domain(psched(k).pred)$  $\implies psched(k).lst \ge sched(i).st + psched(i).duration$ Since:  $A \Longrightarrow (B \land C)$  $\Longrightarrow$  $(A \land B) \Longrightarrow C$  $\forall (sched)$  $domain(psched) \subseteq domain(sched)$  $\implies \forall (i,k)$  $i \in domain(psched)) \land k \in domain(psched)$  $\land i \in domain(psched(k).pred) \land psched(i).est \leq sched(i).st$  $\implies sched(i).st \leq psched(i).lst$  $\implies psched(k).lst \ge sched(i).st + psched(i).duration$  $\forall (sched)$  $domain(psched) \subseteq domain(sched)$  $\implies \forall (i,k)$  $i \in domain(psched)) \land k \in domain(psched)$  $\land i \in domain(psched(k).pred) \land psched(i).est \leq sched(i).st$  $\implies sched(i).st \leq psched(i).lst$ 

Replacing sched with qsched:

 $\implies sched(i).st \leq psched(k).lst - psched(i).duration$ 

```
domain(qshed) = domain(psched)
                                          \forall (a) \ a \in domain(qshed)
                                                \implies qsched(a) = sched(a)
                                          (Assuming that each activity has the
                                          index in psched, qsched, and sched)
                                          (A' \Longrightarrow A) \land (A \Longrightarrow B)
                                 Since:
                                          (A' \implies B)
domain(psched) \subseteq domain(qsched)
    i \in domain(psched)) \land k \in domain(psched)
    \land i \in domain(psched(k).pred) \land psched(i).est \leq qsched(i).st
     \implies qsched(i).st \leq psched(i).lst
             \implies qsched(i).st \leq psched(k).lst - psched(i).duration
                                          \forall (m) \ S(m)
                                 Since:
                                               \forall (a) \ a \in domain(m) \land T(a)
                                                \implies (m(a) \le p(a) \implies m(a) \le q(a))
                                           S(p) \land \forall (a) \ a \in domain(p) \land T(a)
                                           \implies (p(a) \leq q(a))
    i \in domain(psched)) \land k \in domain(psched)
     \land i \in domain(psched(k).pred)
     \implies psched(i).lst \leq psched(k).lst - psched(i).duration
```

 $\forall (qched)$ 

 $\forall (i,k)$ 

 $\implies \forall (i,k)$ 

```
\forall (i, k)
    i \in domain(psched)) \land k \in domain(psched)
     \land i \in domain(psched(k).pred)
     \implies psched(i).lst + psched(i).duration \leq psched(k).lst
                                        psched(i).lst + psched(i).duration = psched(i).lft
\forall (i,k)
    i \in domain(psched)) \land k \in domain(psched)
     \land i \in domain(psched(k).pred)
     \implies psched(i).lft \leq psched(k).lst
                                Since: j \in domain(psched(i).pred) \subseteq domain(psched)
\forall (i, k)
    k \in domain(psched)
     \land \ i \in domain(psched(k).pred)
     \implies psched(i).lft \leq psched(k).lst
```

## Appendix B - Derivation of Constraints from the ACP constraint

## Derivation of $\xi(x,\hat{r})$

The derivation of *cutting constraints* involves two steps.

The first step consists of deriving necessary conditions for the feasibility of a solution z contained in a space descriptor  $\hat{r}$ , i.e.:

$$\forall (x:D,\hat{r}:\hat{R},\,z:R)(Satisfies(z,\hat{r})\,\wedge\,O(x,z)\,\Longrightarrow\,\Psi(x,z,\hat{r})) \tag{10}$$

The second step consists of making sure that the space descriptors (themselves) satisfy the necessary conditions for containing feasible solutions, i.e.:

$$\xi(x,\hat{r}) \iff \forall (z:R)(Satisfies(z,\hat{r}) \implies \Psi(x,z,\hat{r}))$$
 (11)

In the following section we describe the derivation of  $\xi(x,\hat{r})$  for the safety constraint designated by AC Power.

#### Satisfies and Outuput Condition for the Outage Problem

#### Conventions:

- es(sched) event sequence sequence of events of sched
- sacpl?(t, sched) is the state a state of acp loss, at time t given sched?
- avacpr(t, sched) number of acp resources available, at time t, given sched
- ACPR set of ACP resources
- av?(x, t, sched)/unav?(x, t, sched)- is resource x available (unavailable) at time t, given sched?
- affects?(x, y) does activity x affect resource y?

 $\mathbf{Def}: Satisfies(sched, psched)$ 

```
domain(psched) \subseteq domain(sched) \land \\ \forall (i:i \in domain(psched)) \\ psched(i).est \leq sched(i).st \leq psched(i).lst
```

```
\mathbf{Def}: O(acts, psched)
```

```
consistent-separation(sched) \land consistent-acp(sched)) \land all-activities-scheduled(acts, sched)
```

#### Derivation of Cutting Constraint for AC Power

Given the following definition for a safety constraint for AC Power:

 $\mathbf{Def}: consistent-acp(sched))$ 

$$\forall (i, t_1, t_2) \\ i \in domain(es(sched)) \land t_1 = es(sched)(i) \land t_2 = es(sched)(i+1) \\ \Longrightarrow sacpl?(t, sched) \\ \Longrightarrow avacpr(\langle t_1, t_2 \rangle, sched) \geq T'$$

Since: 
$$A \Longrightarrow B \Longrightarrow C$$
  
 $\Longleftrightarrow$   
 $A \land B \Longrightarrow C$ 

$$\iff$$

$$\forall (i, t_1, t_2) \\ i \in domain(es(sched)) \land t_1 = es(sched)(i) \land t_2 = es(sched)(i+1) \\ \land sacpl?(t, sched) \\ \Longrightarrow avacpr(\langle t_1, t_2 \rangle, sched) \geq T'$$

Since: 
$$avacpr(\langle t_1, t_2 \rangle, sched) \ge T'$$
 $\iff$ 
 $unavacpr(\langle t_1, t_2 \rangle, sched) \le TACPR - T' = T)$ 

$$\leftarrow$$

$$\forall (i, t_1, t_2) \\ i \in domain(es(sched)) \land t_1 = es(sched)(i) \land t_2 = es(sched)(i+1)$$

```
\land sacpl?(t, sched)
  \Rightarrow unavacpr(< t_1, t_2 >, sched) \le T
                                      Since: \sum_{x \in S \land P(x)} 1 \leq T
                                                  \forall (R)
                                                   R \subseteq S \land |R| = T \land \sum_{x \in R \land P(x)} 1 = T \land
                                                  \forall (y) \ y \in S/R
                                                   \implies \neg P(y)
\forall (i, t_1, t_2)
i \in domain(es(sched)) \land t_1 = es(sched)(i) \land t_2 = es(sched)(i+1)
 \land sacpl?(t, sched)
 \implies \forall (R)R \subseteq ACPR \land |R| = T \land \sum_{x \in R \land unav?(x, < t_1, t_2 >, sched)} 1 = T
      \land \forall (y) \ y \in ACPR/R
      \implies av?(y, < t_1, t_2 >, sched)
                                       Since: av?(y, < t_1, t_2 >, sched)
                                                     \iff
                                                   \forall (j) \ j \in domain(sched) \land affects?(j,y)
                                                     \implies \neg during(j, \langle t_1, t_2 \rangle)
 \forall (i, t_1, t_2)
i \in domain(es(sched)) \land t_1 = es(sched)(i) \land t_2 = es(sched)(i+1)
  \land sacpl?(t, sched)
  \implies \forall (R)R \subseteq ACPR \land |R| = T \land \sum_{x \in R \land unav?(x, < t_1, t_2 >, sched)} 1 = T
       \land \forall (y) \ y \in ACPR/R
       \implies \forall (j) \ j \in domain(sched) \ \land \ affects?(j,y)
```

 $\implies \neg during(j, \langle t_1, t_2 \rangle)$ 

# Substituting $\neg during(j, \langle t_1, t_2 \rangle)$

```
 \forall (i, t_1, t_2) 
 i \in domain(es(sched)) \land t_1 = es(sched)(i) \land t_2 = es(sched)(i+1) 
 \land sacpl?(t, sched) 
 \Rightarrow \forall (R)R \subseteq ACPR \land |R| = T \land \sum_{x \in R \land unav?(x, < t_1, t_2 >, sched)} 1 = T 
 \land \forall (y) \ y \in ACPR/R 
 \Rightarrow \forall (j) \ j \in domain(sched) \land affects?(j, y) 
 \Rightarrow sched(j).ft < t_1 \lor sched(j).st \ge t_2
```

Since: 
$$A \Longrightarrow B \Longrightarrow C$$
  
 $\Longleftrightarrow$   
 $A \land B \Longrightarrow C$ 

 $\forall (i, t_1, t_2) \\ i \in domain(es(sched)) \land t_1 = es(sched)(i) \land t_2 = es(sched)(i+1) \\ \land sacpl?(t, sched) \land \forall (R)R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, sched)} 1 = T \land \forall (y) \ y \in ACPR/R \\ \land \ \forall (j) \ j \in domain(sched) \land \ affects?(j, y) \\ \Longrightarrow sched(j).ft < t_1 \lor sched(j).st \ge t_2$ 

Pulling out all the quantifiers:

 $\forall (i, t_1, t_2, j, R, y) \\ i \in domain(es(sched)) \land t_1 = es(sched)(i) \land t_2 = es(sched)(i+1) \\ \land j \in domain(sched) \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, sched)} 1 = T \land y \in ACPR/R \\ \land sacpl?(t, sched) \land affects?(j, y) \\ \Longrightarrow sched(j).ft < t_1 \lor sched(j).st \ge t_2$ 

Since: 
$$(A' \Longrightarrow A) \land (A \Longrightarrow B)$$
  
 $\Longrightarrow$   
 $(A' \Longrightarrow B)$ 

And: (assuming that each activity has the same index in psched and sched)

domain(psched) ⊆ domain(sched)

 $domain(es(psched)) \subseteq domain(es(sched))$ 

 $\forall (i) \ i \in domain(psched) \land pes(psched)(i)$  $\implies pes(psched)(i) \subseteq es(sched)(i)$ 

 $\forall (t_1, t_2) \ unav?(x, < t_1, t_2 >, psched) \\ \Longrightarrow \ unav?(x, < t_1, t_2 >, sched)$ 

 $\forall (i, t_1, t_2, j, R, y) \\ i \in domain(pes(psched)) \land t_1 = pes(psched)(i) \land t_2 = pes(psched)(i+1) \\ \land j \in domain(psched) \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, psched)} 1 = T \land y \in ACPR/R \\ \land sacpl?(t, psched) \land affects?(j, y) \\ \Longrightarrow sched(j).ft < t_1 \lor sched(j).st \ge t_2$ 

 $\Longrightarrow$ 

Replacing  $\langle t_1, t_2 \rangle$  with  $\langle UB, LB \rangle \subseteq \langle t_1, t_2 \rangle$ , where:

given a generic time t ( $t_1$  or  $t_2$ ), act the corresponding activity that triggers that event at time t, type-event = s if the event corresponds to the start of act, type-event = f otherwise:

 $UB = \\ If \ type\text{-}event = s \\ Then \ act.lst \\ Else \ act.lft \\ (\text{Notice that} \ UB \geq t_1)$ 

LB =

If type-event = sThen act.est  $Else\ act.eft$ (Notice that  $LB \ge t_2$ )

And since:

$$\begin{array}{ccc} (A \Longrightarrow B) \ \land \ (B \Longrightarrow B') \\ \Longrightarrow \\ (A \Longrightarrow B') \end{array}$$

 $\Longrightarrow$ 

$$\forall (i, t_1, t_2, j, R, y) \\ i \in domain(pes(psched)) \land t_1 = pes(psched)(i) \land t_2 = pes(psched)(i+1) \\ \land j \in domain(psched) \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, psched)} 1 = T \land y \in ACPR/R \\ \land sacpl?(t, psched) \land affects?(j, y) \\ \Longrightarrow sched(j).ft < UB \lor sched(j).st \ge LB$$

Which corresponds to the *cutting constraint*, derived from consistent-acp(sched)).

Instantiation of  $\xi$  for the cutting constraint for consistent-acp(sched)).

$$\xi(x,\hat{r}) \iff \forall (z:R)(Satisfies(z,\hat{r}) \implies \Psi(x,z,\hat{r}))$$
 (12)

In the outage problem, the expressions for  $Satisfies(z,\hat{r})$  and  $\Psi(x,z,\hat{r})$  (for the consistent-acp) are:

 $\mathbf{Def}: Satisfies (sched, psched)$ 

$$\begin{aligned} & domain(psched) \subseteq domain(sched) & \land \\ & \forall (i) \\ & i \in domain(psched) \\ & \Longrightarrow psched(i).est \leq sched(i).st \leq psched(i).lst \end{aligned}$$

**Def**:  $\psi(acts, sched, psched)$  (for the consistent-acp)

```
 \forall (i, t_1, t_2, j, R, y) \\ i \in domain(pes(psched)) \land t_1 = pes(psched)(i) \land t_2 = pes(psched)(i+1) \\ \land j \in domain(psched) \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, psched)} 1 = T \land y \in ACPR/R \\ \land sacpl?(t, psched) \land affects?(j, y) \\ \implies sched(j).ft < UB \lor sched(j).st \ge LB
```

Combining both we can instantiate  $\xi(act, psched)$  i.e.,

$$\xi(act, psched) \iff \forall (sched)(Satisfies(sched, psched)) \implies \Psi(act, sched, psched))$$
(13)

 $\mathbf{Def}: \xi(act, psched)$ 

```
 \forall (sched) \\ domain(psched) \subseteq domain(sched) \land \\ \forall (i) \\ i \in domain(psched) \\ \implies psched(i).est \leq sched(i).st \leq psched(i).lst \\ \implies \forall (k,t_1,t_2,j,R,y) \\ k \in domain(pes(psched)) \land t_1 = pes(psched)(k) \land t_2 = pes(psched)(k+1) \\ \land j \in domain(psched) \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, psched)} 1 = T \land y \in ACPR/R \\ \land sacpl?(t, psched) \land affects?(j,y) \\ \implies sched(j).ft < UB \lor sched(j).st \geq LB
```

Since: 
$$A \land B \Longrightarrow C$$
  
 $\Longleftrightarrow$   
 $A \Longrightarrow B \Longrightarrow C$ 

```
\implies \forall (k, t_1, t_2, j, R, y) \\ k \in domain(pes(psched)) \land t_1 = pes(psched)(k) \land t_2 = pes(psched)(k+1) \\ \land j \in domain(psched) \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, psched)} 1 = T \land y \in ACPR/R \\ \land sacpl?(t, psched) \land affects?(j, y) \\ \implies sched(j).ft < UB \lor sched(j).st \ge LB
```

# Rearranging quantifiers

```
 \forall (sched) \\ domain(psched) \subseteq domain(sched) \\ \Longrightarrow \forall (i) \\ i \in domain(psched) \\ \Longrightarrow psched(i).est \leq sched(i).st \leq psched(i).lst \\ \Longrightarrow \forall (j) \ j \in domain(psched) \land \\ \forall (k,t_1,t_2,R,y) \\ k \in domain(pes(psched)) \land \\ t_1 = pes(psched)(k) \land t_2 = pes(psched)(k+1) \\ \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, psched)} 1 = T \land y \in ACPR/R \\ \land sacpl?(t, psched) \land affects?(j,y) \\ \Longrightarrow sched(j).ft < UB \lor sched(j).st \geq LB
```

Since: 
$$A \wedge B \Longrightarrow C$$
  
 $\Longleftrightarrow$   
 $A \Longrightarrow B \Longrightarrow C$ 

$$orall (sched)$$
 $domain(psched) \subseteq domain(sched)$ 
 $\implies \forall (i)$ 
 $i \in domain(psched)$ 
 $\implies psched(i).est \leq sched(i).st \leq psched(i).lst$ 
 $\implies \forall (j) \ j \in domain(psched)$ 
 $\implies \forall (k, t_1, t_2, R, y)$ 
 $k \in domain(psched)) \land$ 

```
\begin{array}{l} t_1 = pes(psched)(k) \ \land \ t_2 = pes(psched)(k+1) \\ \land \ R \subseteq ACPR \ \land \ |R| = T \ \land \\ \sum_{x \in R \ \land \ unav?(x, < t_1, t_2 >, psched)} 1 = T \ \land \ y \in ACPR/R \\ \land \ sacpl?(t, psched) \ \land \ affects?(j, y) \\ \Longrightarrow \ sched(j).ft < UB \ \lor \ sched(j).st \ge LB \end{array}
```

Since: 
$$\forall (K)K \in S \implies P(K)$$
 $\Longrightarrow$ 
 $\forall (K)K \in S \implies Q(K)$ 
 $\Longleftrightarrow$ 
 $\forall (K)K \in S \implies P(K) \implies Q(K)$ 

 $\forall (sched) \\ domain(psched) \subseteq domain(sched) \\ \Longrightarrow \forall (i) \\ i \in domain(psched) \\ \Longrightarrow psched(i).est \leq sched(i).st \leq psched(i).lst \\ \Longrightarrow \forall (k,t_1,t_2,R,y) \\ k \in domain(pes(psched)) \land \\ t_1 = pes(psched)(k) \land t_2 = pes(psched)(k+1) \\ \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R} \land unav?(x, < t_1, t_2 > psched) \land affects?(i,y)$ 

 $\implies sched(i).ft < UB \ \lor \ sched(i).st \geq LB$ 

Since: 
$$A \Longrightarrow B \Longrightarrow C$$
  
 $\Longleftrightarrow$   
 $A \land B \Longrightarrow C$ 

 $orall (sched) \ domain(psched) \subseteq domain(sched) \ \Longrightarrow \ orall (i) \ i \in domain(psched)$ 

```
\implies psched(i).est \leq sched(i).st \leq psched(i).lst
\land \forall (k, t_1, t_2, R, y)
k \in domain(pes(psched)) \land
t_1 = pes(psched)(k) \land t_2 = pes(psched)(k+1)
\land R \subseteq ACPR \land |R| = T \land
\sum_{x \in R \land unav?(x, < t_1, t_2 >, psched)} 1 = T \land y \in ACPR/R
\land sacpl?(t, psched) \land affects?(i, y)
\implies sched(i).ft < UB \lor sched(i).st \geq LB
```

Since: 
$$A \Longrightarrow (B \land C)$$
  
 $\Longrightarrow$   
 $(A \land B) \Longrightarrow C$ 

```
 \forall (sched) \\ domain(psched) \subseteq domain(sched) \\ \Longrightarrow \forall (i) \\ i \in domain(psched) \land \\ \forall (k, t_1, t_2, j, R, y) \\ k \in domain(pes(psched)) \land \\ t_1 = pes(psched)(k) \land t_2 = pes(psched)(k+1) \\ \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, psched)} 1 = T \land y \in ACPR/R \\ \land sacpl?(t, psched) \land affects?(j, y) \\ sched(i).st \leq psched(i).lst \\ \Longrightarrow psched(i).est \leq sched(i).st \\ \Longrightarrow sched(i).ft < UB \lor sched(i).st \geq LB
```

# Treating one disjunct:

```
orall (sched)
domain(psched) \subseteq domain(sched)
\Longrightarrow
\forall (i)
i \in domain(psched) \land
\forall (k, t_1, t_2, j, R, y)
k \in domain(pes(psched)) \land
```

```
\begin{array}{l} t_1 = pes(psched)(k) \ \land \ t_2 = pes(psched)(k+1) \\ \land \ R \subseteq ACPR \ \land \ |R| = T \ \land \\ \sum_{x \in R \ \land \ unav?(x, < t_1, t_2 >, psched)} 1 = T \ \land \ y \in ACPR/R \\ \land \ sacpl?(t, psched) \ \land \ affects?(j, y) \\ sched(i).st \le psched(i).lst \\ \Longrightarrow \ psched(i).est \le sched(i).st \\ \Longrightarrow \ LB \le sched(i).st \end{array}
```

Replacing sched with qsched: domain(qshed) = domain(psched)  $\forall (a) \ a \in domain(qshed)$   $\Rightarrow qsched(a) = sched(a)$ (Assuming that each activity has the same index in psched, qsched, and sched)

Since:  $(A' \Rightarrow A) \land (A \Rightarrow B)$ 

Since: 
$$(A' \Longrightarrow A) \land (A \Longrightarrow B)$$
  
 $\Longrightarrow$   
 $(A' \Longrightarrow B)$ 

 $\forall (qsched) \\ domain(psched) \subseteq domain(qsched) \\ \Longrightarrow \\ \forall (i) \\ i \in domain(qsched)) \land \\ \forall (k, t_1, t_2, j, R, y) \\ k \in domain(pes(psched)) \land \\ t_1 = pes(psched)(k) \land t_2 = pes(psched)(k+1) \\ \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, psched)} 1 = T \land y \in ACPR/R \\ \land sacpl?(t, psched) \land affects?(j, y) \\ qsched(i).st \leq psched(i).lst \\ \Longrightarrow psched(i).est \leq qsched(i).st \\ \Longrightarrow LB \leq sched(i).st$ 

Since: 
$$\forall (m) \ S(m)$$
 $\Rightarrow$ 
 $\forall (a) \ a \in domain(m) \land T(a)$ 
 $\Rightarrow (p(a) \leq m(a) \Rightarrow q(a) \leq m(a))$ 

```
S(p) \land \forall (a) \ a \in domain(p) \land T(a)
\implies (q(a) \leq p(a))
```

# Treating the second disjunct:

```
 \forall (sched) \\ domain(psched) \subseteq domain(sched) \\ \Longrightarrow \forall (i) \\ i \in domain(psched) \land \\ \forall (k, t_1, t_2, j, R, y) \\ k \in domain(pes(psched)) \land \\ t_1 = pes(psched)(k) \land t_2 = pes(psched)(k+1) \\ \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, psched)} 1 = T \land y \in ACPR/R \\ \land sacpl?(t, psched) \land affects?(j, y) \\ psched(i).est \leq sched(i).st \\ \Longrightarrow sched(i).st \leq psched(i).lst \\ \Longrightarrow sched(i).ft < UB
```

Since: 
$$sched(i).ft < UB$$
  
 $\iff$   
 $sched(i).st < UB - sched(i).duration$ 

```
 \forall (sched) \\ domain(psched) \subseteq domain(sched) \\ \Longrightarrow \forall (i) \\ i \in domain(psched) \land \\ \forall (k, t_1, t_2, j, R, y) \\ k \in domain(pes(psched)) \land \\ t_1 = pes(psched)(k) \land t_2 = pes(psched)(k+1) \\ \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, psched)} 1 = T \land y \in ACPR/R \\ \land sacpl?(t, psched) \land affects?(j, y) \\ psched(i).est \leq sched(i).st \\ \Longrightarrow sched(i).st \leq psched(i).lst \\ \Longrightarrow sched(i).st < UB - sched(i).duration
```

Replacing sched with qsched:
$$domain(qshed) = domain(psched)$$

$$\forall (a) \ a \in domain(qshed)$$

$$\implies qsched(a) = sched(a)$$
(Assuming that each activity has the same index in psched, qsched, and sched)

Since:  $(A' \implies A) \land (A \implies B)$ 

$$\implies (A' \implies B)$$

 $\forall (qsched) \\ domain(psched) \subseteq domain(qsched) \\ \Longrightarrow \forall (i) \\ i \in domain(qsched)) \land \\ \forall (k, t_1, t_2, j, R, y) \\ k \in domain(pes(psched)) \land \\ t_1 = pes(psched)(k) \land t_2 = pes(psched)(k+1) \\ \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R} \land unav?(x, < t_1, t_2 >, psched) 1 = T \land y \in ACPR/R \\ \land sacpl?(t, psched) \land affects?(j, y) \\ psched(i).est \leq qsched(i).st \\ \Longrightarrow qsched(i).st \leq psched(i).lst \\ \Longrightarrow qsched(i).st \leq UB - qsched(i).duration$ 

```
Since: \forall (m) \ S(m)
\Rightarrow
\forall (a) \ a \in domain(m) \land T(a)
\Rightarrow (m(a) \leq p(a) \Rightarrow m(a) \leq q(a))
\Leftrightarrow
S(p) \land \forall (a) \ a \in domain(p) \land T(a)
\Rightarrow (p(a) \leq q(a)
```

 $\forall (i) \\ i \in domain(psched) \land \\ \forall (k, t_1, t_2, j, R, y) \\ k \in domain(pes(psched)) \land \\ t_1 = pes(psched)(k) \land t_2 = pes(psched)(k+1) \\ \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, psched)} 1 = T \land y \in ACPR/R \\ \land sacpl?(t, psched) \land affects?(j, y) \\ \implies psched(i).lst < UB - pched(i).duration$ 

Since: 
$$psched(i).lst < UB - pched(i).duration$$
  
 $\iff$   
 $psched(i).lft < UB$ 

 $\forall (i) \\ i \in domain(psched) \land \\ \forall (k, t_1, t_2, j, R, y) \\ k \in domain(pes(psched)) \land \\ t_1 = pes(psched)(k) \land t_2 = pes(psched)(k+1) \\ \land R \subseteq ACPR \land |R| = T \land \\ \sum_{x \in R \land unav?(x, < t_1, t_2 >, psched)} 1 = T \land y \in ACPR/R \\ \land sacpl?(t, psched) \land affects?(j, y) \\ \Longrightarrow psched(i).lft < UB$ 

# Appendix C - Domain Theory for the Outage Problem

```
!! in-package("RE")
!! in-grammar('THEORY-GRAMMAR, 'REGROUP)
THEORY SAFE-OUTAGE
THEORY-IMPORTS {}
%-----
THEORY-TYPE-PARAMETERS {}
          ______
THEORY-TYPES
%----- Basic Types -----
type time = integer
type quantity = integer
type state-res = symbol
type acploss-i = integer %(0 - yes; 1 no)
type list-av-ress = set(state-res)
type set-of-acts-acploss = set(activity)
type state-type
 = tuple(acploss?: acploss-i,
 num-unav-ress: integer,
 unav-res-map: map(symbol, set(symbol)),
 list-av-ress:set(state-res))
type st-hist-map = map(time, state-type)
% dependent on the input data
constant *initial-state-map*: st-hist-map
 = {|0 -> < 1, 0, {||}, { 'div1, 'div2, 'div3, 'div4, 'su10, 'su20 }>|}
```

```
type pred-succ-act
  = tuple(id: symbol, lag: integer, tie: symbol)
%----- Activity -----
type activity = tuple(act-name : symbol,
          predecessors : seq(pred-succ-act),
          duration : integer,
          est : integer,
          1st : integer,
          st : integer,
          ft: integer,
          effect-set: set(symbol)
  )
             ----- Schedule -----
type sched = seq(activity)
%----- State Related Types and Constants ------
constant *acp-sources* : map( symbol , seq(symbol))
 = {| 'on-site -> [ 'div1, 'div2, 'div3, 'div4],
       'off-site -> [ 'su10, 'su20 ],
       'control-variable -> [ 'acploss] |}
THEORY-OPERATIONS
% resources are assumed to be unlimited
%----- Top Level Functions -----
function pos-safe-outage
 (def-sched: seq(activity)
   | size(def-sched) > 0
   & Consistent-Activity-Separation-EST(def-sched)
   & Consistent-Activity-Separation-LST(def-sched)
   & Consistent-ac-power-prop1(def-sched,
construct-state-map-def(def-sched) )
   & Consistent-ac-power-prop2(def-sched,
construct-state-map-def(def-sched) ))
```

```
returns (schedule: seq(activity)
   | consistent-ac-power(schedule)
   & All-activities-scheduled(def-sched, schedule))% completeness
function safe-outage-windows
 (activities: seq(activity) | size(activities) > 0)
 returns (schedule: seq(activity) |
  Consistent-Activity-Separation-EST(schedule) &
  Consistent-Activity-Separation-LST(schedule) &
         Consistent-ac-power(schedule)&
         All-activities-scheduled(activities, schedule))% completeness
function Consistent-Activity-Separation-EST
 (schedule : seq(activity))
  : boolean
 = fa (i : integer, j :integer, act : activity)
  (i in [1 .. size(schedule)]
  & j in [1 .. size(schedule(i).predecessors)]
  & act = get-activity(schedule, schedule(i).predecessors(j))
  & defined?(act)
  => (act.est + act.duration + schedule(i).predecessors(j).lag)
      <= schedule(i).est)</pre>
function Consistent-Activity-Separation-LST
  (schedule : seq(activity))
  : boolean
     fa (i : integer, j :integer, w: integer)
  (i in [1 .. size(schedule)] &
   j in [1 .. size(schedule(i).predecessors)] &
  w = get-activity-index(schedule, schedule(i).predecessors(j).1)
   => (schedule(i).lst - schedule(w).duration >= schedule(w).lst ))
function Consistent-ac-power
  ( schedule: sched) : boolean
  = let (state-hist-map: st-hist-map
 = construct-state-map(schedule))
```

```
fa (t1:integer)
     (t1 in domain(state-hist-map)
      => state-hist-map(t1).num-unav-ress
         <= (1 + state-hist-map(t1).acploss?))
function Construct-state-map(schedule: sched)
       : st-hist-map
      = construct-state-map-aux(schedule, *initial-state-map*)
function Construct-state-map-aux(schedule: sched, init-st-hist: st-hist-map)
      : st-hist-map
      = if empty(schedule)
       then init-st-hist
       else if empty(first(schedule).effect-set)
            then construct-state-map-aux(rest(schedule), init-st-hist)
            else construct-state-map-aux(rest(schedule),
                    init-st-hist +* add-act-map(first(schedule),
 first(schedule).effect-set,
 first(schedule).st,
                                 first(schedule).ft, init-st-hist))
function add-act-map
  (act: activity,
  list-effects: set(symbol),
  t1: time, t2: time,
  state-hist-map: st-hist-map)
      : st-hist-map
      = if t1 >= t2
        then {||}
        else (let (start-event-map: st-hist-map
   = add-event-map-seq(act, list-effects,
t1, t2, state-hist-map))
      start-event-map
      +* add-event-map(act, list-effects, t2, 'f,
state-hist-map +* start-event-map))
function fd-def-app
 (act: activity, ps: seq(activity),
  list-effects: set(symbol),
```

```
t1: time, t2: time,
   state-hist-map: st-hist-map)
       : st-hist-map
       = if t1 >= t2
         then {||}
         else *call-def* <- append(*call-def*, <act, t1, t2, 'app>);
              (let (start-event-map: st-hist-map
    = add-event-map-seq(act, list-effects,
t1, t2, state-hist-map))
       start-event-map
       +* add-event-map(act,list-effects, t2, 'f,
state-hist-map +* start-event-map))
function fd-def-ext-est
  (ind: integer, ps: seq(activity),
   list-effects: set(symbol),
   t1: time, t2: time,
   state-hist-map: st-hist-map)
       : st-hist-map
       = let (begin-time: integer= max(t1,ps(ind).lst))
        (if ps(ind).lst >= t2
         then {||}
         else *call-def* <- append(*call-def*, <ps(ind), t1, t2, 'est>);
               (let (start-event-map: st-hist-map
    = add-event-map-seq(ps(ind), list-effects,
begin-time, t2, state-hist-map))
       start-event-map
       +* add-event-map(ps(ind),list-effects, t2, 'f,
state-hist-map +* start-event-map)))
function fd-pos-ext-est
  (ind: integer, ps: seq(activity),
   list-effects: set(symbol),
   t1: time, t2: time,
   state-hist-map: st-hist-map)
  : st-hist-map % returns all the entries that changed
  = if t1 >= t2
    then {||}
    else (let (start-event-map: st-hist-map
       = del-event-map-seq(ps(ind), list-effects, t1, t2, state-hist-map))
  (start-event-map +* add-event-map(ps(ind),list-effects, t2, 's,
   state-hist-map +* start-event-map)))
```

```
function fd-pos-ext-lst
  (ind: integer, ps: seq(activity),
   list-effects: set(symbol),
   t1: time, t2: time,
   state-hist-map: st-hist-map)
  : st-hist-map % returns all the entries that changed
  = if t1 >= t2
    then {||}
    else (let (start-event-map: st-hist-map
       = del-event-map-seq(ps(ind), list-effects, t1, t2, state-hist-map))
  start-event-map +* add-event-map(ps(ind),list-effects, t2, 'f,
   state-hist-map +* start-event-map))
function fd-def-ext-1st
  (ind: integer, ps: seq(activity),
   list-effects: set(symbol),
   t1: time, t2: time,
   state-hist-map: st-hist-map)
       : st-hist-map
      = let (end-time: integer= min((ps(ind).est + ps(ind).duration), t2))
        (if t1 >= (ps(ind).est + ps(ind).duration)
         then {||}
         else (let (start-event-map: st-hist-map =
                add-event-map-seq(ps(ind), list-effects,
t1, end-time, state-hist-map))
             (if end-time = t2 & t2 ~= ps(ind).est + ps(ind).duration
              then start-event-map
              else (start-event-map +* add-event-map(ps(ind),
          list-effects, end-time, 'f,
   state-hist-map +* start-event-map)))))
function add-event-map-seq
  (act: activity,
  list-effects: set(symbol),
  t1: time, t2: time,
```

```
state-hist-map: st-hist-map)
 : st-hist-map
 = let (seq-time: seq(integer)=
           sort([x | (x) x in domain(state-hist-map)
     x < t2], lambda(a1, a2) a1 <= a2),
           event-before: state-type =
                            get-event-before(t1, state-hist-map))
         let (update-first: st-hist-map =
      {| t1 -> update-status-start(t1, act.act-name,
                          event-before,list-effects)|})
      add-event-map-rec(act, list-effects, seq-time,
                         state-hist-map+* update-first, update-first)
function add-event-map-rec
   (act:activity,
    list-effects: set(symbol),
    seq-time: seq(integer),
    state-hist-map: st-hist-map,
    init-map: st-hist-map)
    = if empty(seq-time)
      then init-map
      else let (add-first: st-hist-map = add-event-map(act, list-effects,
       seq-time(1), 's,
       state-hist-map))
                add-event-map-rec(act, list-effects, rest(seq-time),
     state-hist-map +* add-first,
     init-map +* add-first)
%%
function add-event-map
  (act: activity,
   list-effects: set(symbol),
   t1: time, type-s: symbol,
   state-hist-map: st-hist-map)
  : st-hist-map
  = (let (event-before: state-type
  = get-event-before(t1, state-hist-map))
     if type-s = 's
     then {| t1 ->
```

```
update-status-start(t1, act.act-name, event-before, list-effects) | }
      else {| t1 ->
       update-status-finish(t1, act.act-name, event-before, list-effects)|})
% this should be del-partial-act-map
function del-act-map
  (act: activity,
   list-effects: set(symbol),
   t1: time, t2: time,
   state-hist-map: st-hist-map)
  : st-hist-map % returns all the entries that changed
  = if t1 >= t2
    then {||}
    else (let (start-event-map: st-hist-map
       = del-event-map-seq(act,list-effects, t1, t2, state-hist-map))
  if t2 = act.lst + act.duration
          then (start-event-map +* add-event-map(act,list-effects, t2, 'f,
   state-hist-map +* start-event-map))
  else if t1 = ( act.est + act.duration)
               then (start-event-map +* add-event-map(act,list-effects, t2, 's,
   state-hist-map +* start-event-map))
               else start-event-map )
function del-event-map-seq
  (act: activity,
  list-effects: set(symbol),
  t1: time, t2: time,
  state-hist-map: st-hist-map)
  : st-hist-map
 = let (seq-time: seq(integer)=
            sort([x | (x) x in domain(state-hist-map)
             & x > t1 &
     x < t2], lambda(a1, a2) a1 <= a2),
         event-before: state-type = get-event-before(t1, state-hist-map))
      let (update-first: st-hist-map=
             {| t1 ->
         update-status-finish(t1, act.act-name, event-before, list-effects)|})
     del-event-map-rec(act, list-effects, seq-time,
                         state-hist-map +* update-first, update-first)
```

```
function t-find-event-before
  (t1: integer, m1: map(integer,set(integer)))
  : set(integer)
  = m1(t-time-before-in-domain(m1,t1, m1(t1)))
function t-time-before-in-domain
  ( m1: map(integer,set(integer)), i-ti: integer, i-ti-val: set(integer))
  : integer =
  let (val = undefined)
  ti-val = m1(ti)
    & ti < i-ti
    & (defined?(val) => ti > val)
   --> val <- (val; ti);
  val
function del-event-map-rec
   (act:activity,
    list-effects: set(symbol),
    seq-time: seq(integer),
    state-hist-map: st-hist-map,
    init-map: st-hist-map)
    = if empty(seq-time)
      then init-map
      else let (add-first : st-hist-map= add-event-map(act, list-effects,
       seq-time(1), 'f,
       state-hist-map))
           del-event-map-rec(act, list-effects, rest(seq-time),
     state-hist-map +* add-first,
     init-map +* add-first)
function get-event-before
  (t1: time, state-hist-map: st-hist-map)
  : state-type
  = if defined?(state-hist-map(t1))
  then state-hist-map(t1)
  else find-event-before(t1, state-hist-map)
function find-event-before
  (t1: time, state-hist-map: st-hist-map)
  : state-type
  = state-hist-map(time-before-in-domain(state-hist-map,
```

```
t1, state-hist-map(t1)))
function value-in-interval-map
  (size-def-reserv-m: map(time, alpha), i-ti: time)
  : alpha =
  let (var val = 0, var prev-dom-el = undefined)
  ti-val = size-def-reserv-m(ti)
    & ti <= i-ti
    & (defined?(prev-dom-el) => ti > prev-dom-el)
    --> (val <- (val; ti-val); % This is a hack to avoid an early stop
 prev-dom-el <- (prev-dom-el; ti));</pre>
  val
function load-map-equal?
  (m1: st-hist-map, m2: st-hist-map): boolean =
  fa(ti) (ti in domain(m1) union domain(m2)
   => value-in-interval-map(m1, ti) = value-in-interval-map(m2, ti))
function time-before-in-domain
  (state-hist-map: map(time, state-type), i-ti: time, i-ti-val: state-type)
  : time =
  let (val = undefined)
  ti-val = state-hist-map(ti)
    & ti < i-ti
    & (defined?(val) => ti > val)
   --> val <- (val; ti);
 val
function time-after-in-domain
  ( i-ti: time, i-ti-val: quantity,
        def-state-map: map(time, state-type)): time =
 let (val = undefined)
 ti-val = def-state-map(ti).num-unav-ress - def-state-map(ti).acploss?
    & ti-val ~= i-ti-val
    & ti > i-ti
    & (defined?(val) => ti < val)
   --> val <- (val; ti);
 val
function update-status-start(t1: time, a-name: symbol,
           state-e-before: state-type, l-effects: set(symbol))
```

```
: state-type
       = let (new-acp-status: integer
      = (if 'acploss in 1-effects
 then 0
  else state-e-before.acploss?),
               new-num-used-res: integer
        = state-e-before.num-unav-ress
 + size(intersect(l-effects, state-e-before.list-av-ress)),
               new-unav-res-map: map(symbol,set(symbol))
       = \{ | x - \rangle
           update-res-map(x, state-e-before.unav-res-map, a-name, 1-effects)
          | (x) x in union(domain(state-e-before.unav-res-map),
   l-effects)|},
               new-av-list: set(symbol)
       = setdiff(state-e-before.list-av-ress, l-effects))
   < new-acp-status,
     new-num-used-res,
     new-unav-res-map,
     new-av-list >
function update-status-finish
  (t1: time, a-name: symbol, state-e-before: state-type,
            1-effects: set(symbol))
        : state-type
        = let (new-acp-status: integer
       = if 'acploss ~in l-effects
         then state-e-before.acploss?
 else (let (acts = state-e-before.unav-res-map('acploss))
       if defined?(acts)
         & acts-less-act-named(acts, a-name) ~= {}
       then 0
       else 1),
               new-num-used-res: integer
       = size({ x | (x: symbol, acts)
        acts = state-e-before.unav-res-map(x)
& defined?(acts)
& x ~= 'acploss
& acts-less-act-named(acts, a-name) ~= {}}) ,
               new-unav-res-map: map(symbol,set(symbol))
       = \{ | x \rightarrow \text{new-acts} \}
           | (x, acts, new-acts) acts = state-e-before.unav-res-map(x)
     & defined?(acts)
     & new-acts = acts-less-act-named(acts, a-name)
     & new-acts ~= {} |})
       let (new-av-list: set(symbol)
```

```
= setdiff(*initial-state-map*(0).list-av-ress,
       domain(new-unav-res-map)))
    < new-acp-status,</pre>
     new-num-used-res.
     new-unav-res-map,
     new-av-list >
 function update-res-map
   (res: symbol, res-map: map(symbol, set(symbol)), a-name: symbol,
          l-effects: set(symbol))
         : set(symbol)
   = if res in l-effects
     then if defined?(res-map(res))
  then res-map(res) with a-name
  else {a-name}
     else if defined?(res-map(res))
          then res-map(res)
  else {}
function act-named-in?(nm: symbol, acts: set(activity)): boolean =
  ex(act1: activity)(act1 in acts & act1.act-name = nm)
function acts-less-act-named(acts: set(symbol), nm: symbol): set(symbol) =
  filter(lambda(act1: symbol) act1 ~= nm,
 acts)
function Construct-state-map-def(schedule: sched)
       : st-hist-map
      = construct-state-map-def-aux(schedule, *initial-state-map*)
function Construct-state-map-def-aux
  (schedule: sched, init-st-hist: st-hist-map)
     : st-hist-map
     = if empty(schedule)
       then init-st-hist
       else if empty(first(schedule).effect-set)
            then construct-state-map-def-aux(rest(schedule), init-st-hist)
            else construct-state-map-def-aux(rest(schedule), init-st-hist
                 +* add-act-map(first(schedule),
```

```
first(schedule).effect-set,
first(schedule).1st.
first(schedule).est
+ first(schedule).duration,
init-st-hist))
function Construct-state-map-pos(schedule: sched)
       : st-hist-map
      = construct-state-map-pos-aux(schedule, *initial-state-map*)
function Construct-state-map-pos-aux
  (schedule: sched, init-st-hist: st-hist-map)
  : st-hist-map
  = if empty(schedule)
  then init-st-hist
  else if empty(first(schedule).effect-set)
       then construct-state-map-pos-aux(rest(schedule), init-st-hist)
       else construct-state-map-pos-aux(rest(schedule), init-st-hist
+* add-act-map(first(schedule),
      first(schedule).effect-set,
      first(schedule).est,
      first(schedule).lst
      + first(schedule).duration, init-st-hist))
function longest-highest-poss-excess-interval
  (poss-state-map: map(time, state-type))
  : tuple(time, time) =
  let (var best-dom-val = undefined,
       var best-ran-val = undefined,
       var best-after-dom-val = undefined)
  ti-val = poss-state-map(ti).num-unav-ress - poss-state-map(ti).acploss?
    & ti-val > 1
    & (defined?(best-dom-val)
=> ti-val > best-ran-val
  or (ti-val = best-ran-val
        & time-after-in-domain( ti, ti-val, poss-state-map) - ti
 > best-after-dom-val - best-dom-val))
   --> (best-dom-val <- (best-dom-val; ti);
 best-ran-val <- (best-ran-val; ti-val);
 best-after-dom-val
   <- time-after-in-domain
       ( best-dom-val, best-ran-val, poss-state-map));
```

```
if defined?(best-dom-val) & defined?(best-after-dom-val)
   then <best-dom-val, best-after-dom-val - 1>
   else undefined
function maximally-poss-act-in-poss-interval
   (sched: seq(activity), i-ti: time, e-ti: time,
          unav-res: map(symbol, set(symbol)))
  : integer =
  let (var max-poss-act = undefined,
       var max-poss-time = undefined,
       var max-poss-indx = undefined,
       sel-resource = get-least-committed-res(unav-res))
  (enumerate act over unav-res(sel-resource) do
     let ( ti: integer = get-activity-index(sched, act))
         let (act-poss-time = poss-time-in-interval(sched(ti), i-ti, e-ti))
     if (defined?(max-poss-time) => act-poss-time > max-poss-time)
      then max-poss-act <- act;
           max-poss-indx <- ti;</pre>
           max-poss-time <- act-poss-time);</pre>
% format(true, "~% selected resource : S~%selected activity: S",
% sel-resource, max-poss-act);
  max-poss-indx
function get-least-committed-res(unav-res : map(symbol, set(symbol)))
         : symbol
         = first(sort([x | (x) x in domain(unav-res)],
                      lambda(a1: symbol, a2: symbol)
                        (size(unav-res(a1)) < size(unav-res(a2)))))
function poss-time-in-interval
  (act: activity, i-ti: time, e-ti: time): time =
 let (est = act.est,
      lst = act.lst,
      dur = act.duration)
 let (eft = est + dur,
      lft = lst + dur)
 if est = 1st then 0
  else max(min(lft, e-ti + 1) - max(eft, i-ti),
   min(lst, e-ti) + 1 - max(est, i-ti))
```

```
function poss-interval-split-time
 (act: activity, i-ti: time, e-ti: time): time =
 let (est = act.est,
      lst = act.lst,
      dur = act.duration)
 let (eft = est + dur,
      lft = lst + dur)
 let (max-est = max(est, i-ti),
      min-lst = min(lst, e-ti),
      \max-eft = \max(eft - 1, i-ti),
      min-lft = min(lft - 1, e-ti))
 if est = 1st then undefined
 else
 if min-lst - max-est >= min-lft - max-eft
  then (max-est + min-lst) div 2
 - (if max-est = min-lst & est < max-est
     then 1 else 0)
  else (max-eft + min-lft) div 2 - dur
         + (if max-eft = min-lft & eft <= max-eft
     then 0 else 1)
function All-activities-scheduled
  (activities: seq(activity),
  schedule : seq(activity))
  : boolean
  = included-activities(activities) = included-activities(schedule)
function Included-activities(activities : seq(activity))
    : set(symbol)
     = seq-to-set(image(lambda (act : activity)
                                  act.act-name,
                                  activities))
function sort-activities (activities : seq(activity)) : seq(activity)
= image(lambda(x : tuple(activity, seq(activity))) x.1,
 sort(image(lambda(y) <y, activities>, activities),
      sort-criteria))
function get-level(act : activity, acts : seq(activity)) : integer
  computed-using
```

```
empty(act.predecessors) => get-level(act, acts) = 0,
  true => get-level(act,acts) = 1 + reduce(lambda(x,y) if y < x then x else y,</pre>
 image(lambda(y) get-level(y, acts),
         image(lambda(x) get-activity(acts, x),
  act.predecessors)))
function sort-criteria (act1 : tuple(activity, seq(activity)),
                        act2 : tuple(activity, seq(activity))) : boolean
= let (key1-1 : integer = get-level(act1.1, act1.2),
       key1-2 : integer = get-level(act2.1, act2.2),
       key2-1: integer = act1.1.lst - act1.1.est - act1.1.duration,
       key2-2 : integer = act2.1.lst - act2.1.est - act2.1.duration)
  if (key1-1 < key1-2) then true
  else (key1-1 = key1-2) & (key2-1 < key2-2)
Misc
                                                    function get-activity
   (activities : seq(activity), act-s : pred-succ-act)
   : activity
   = first(filter(lambda(x: activity) x.act-name = act-s.id, activities))
function get-activity-index
   (activities : seq(activity), name : symbol)
   : integer
   = some (indx0 : integer)
          (indx0 in domain(activities) & name = activities(indx0).1)
%%%%%%%% Printing functions %%%%%%%%%%%
function print-problem-gannt(prob-acts: seq(activity)) =
  (enumerate act: activity over prob-acts do
   print-gannt-line(act.act-name, act.est, act.lst, act.duration));
  format(true, "~9@a~10@a~10@a~10@a~10@a~%",
  0, 10, 20, 30, 40, 50);
 values()
function print-ascii-gannt(sched: seq(activity)) =
 let (width = reduce(max,
     image(lambda (act: activity) act.lst + act.duration,
    sched)))
```

```
let (scale-factor = if width < 100 then 1 else width div 100 + 1)
  (enumerate act: activity over sched do
   print-gannt-line
      (act.act-name,
      act.est div scale-factor,
      act.lst div scale-factor,
      max(1, (act.duration - 1) div scale-factor + 1)));
  format(true, "~9@a", 0);
   (enumerate sn over [1 .. width div scale-factor div 10] do
      format(true, "~10@a", sn * 10 * scale-factor));
  format(true, "~%");
  values()
function print-gannt-line(nm: symbol, est: time, lst: time, dur: time) =
 let (est = est + 1, % because zero-based
      lst = lst + 1)
 let (eft = est + dur - 1,
      lft = lst + dur - 1)
  if est = lft
  then format(true, "~8a~v@a~%",
      nm, est, "*")
  elseif lst = est
   then format(true, "~8a~v@a~v,,,'*@a~%",
       nm, est, "<", lft - lst, ">")
  elseif lst < eft
   then format(true, "~8a~v@a~v,,,'-@a~v,,,'*@a~v,,,'-@a~%",
       nm, est, "(", lst - est, ")", eft - lst, "[", lft - eft, "]")
  elseif lst = eft
   then format(true, ""8a"v@a"v,,,'-@a"v,,,'-@a"%",
       nm, est, "(", lst - est, "X", lft - eft, "]")
  else format(true, ""8a"v@a"v,,,'-@a"v,,,'-@a"v,,,,'-@a"%",
       nm, est, "(", eft - est, "[", lst - eft, ")", lft - lst, "]")
function print-ascii-hist
  (hist: map(time, state-type), sched: seq(activity), scale?: boolean) =
  let (height = reduce(max, image(lambda (st: state-type)
    st.num-unav-ress - st.acploss?,
   range(hist))),
       width = reduce(max,
      image(lambda (act: activity) act.lst + act.duration,
     sched)))
  let (scale-factor = if width < 100 then 1 else width div 100 + 1)
  (enumerate j over [0 .. height] do
```

```
let (i = height - j,
  var this-h = 0,
  var ign-ctr = 0)
     format(true, "~7@a ", i);
     (enumerate k over [0 .. width] do
       let (st: state-type = hist(k))
       this-h <- (if defined?(st)
          then st.num-unav-ress - st.acploss?
   else this-h);
ign-ctr <- ign-ctr + 1;</pre>
       if ign-ctr = scale-factor
 then princ(if this-h = i then "-" else " ");
      ign-ctr <- 0);
    format(true, "~%"));
  (if scale? then
    format(true, "~9@a", 0);
     (enumerate sn over [1 .. width div scale-factor div 10] do
      format(true, "~10@a", sn * 10 * scale-factor));
    format(true, "~%"));
  values()
function print-ascii-gannt-and-poss-hist(sched: seq(activity)) =
  print-ascii-gannt(sched);
  print-ascii-hist(construct-state-map-pos(sched), sched, false)
Refinement Order
                                             % p-sched refines to qsched ; p-state-hist refines to q-state-hist
% p-state-evs refines to q-state-evs
% drs 20ct95: reversed the order of 1st inequality
function REFINES-TO
   (p-sched : sched, q-sched : sched) : boolean
 = ( size(p-sched) <= size(q-sched)
     & fa(i : integer)
         ( i in [1 .. size(p-sched)]
  => (p-sched(i).est <= q-sched(i).est &
             q-sched(i).lst <= p-sched(i).lst
  )))
function SEQ-SATISFIES
   (p-sched : seq(activity), sched : seq(activity)) :boolean
   = refines-to(p-sched, sched)
```

```
function Consistent-ac-power-filter( p-schedule: sched,
def-state-map: st-hist-map)
  : boolean
  = fa (t1:integer, act: integer)
      (t1 in domain(def-state-map) & act in domain(p-schedule) &
             ~empty(p-schedule(act).effect-set)
       & ~empty(intersect(p-schedule(act).effect-set,
                def-state-map(t1).list-av-ress))
=> def-state-map(t1).num-unav-ress <=
                     (1 + def-state-map(t1).acploss?))
function Consistent-ac-power-prop1( p-schedule: sched,
                def-state-map: st-hist-map)
  : boolean
  = fa (t1:integer, t2:integer, act: integer)
      (t1 in domain(def-state-map) & act in domain(p-schedule)
              & ~empty(p-schedule(act).effect-set)
       & t2 = find-time-after(t1, def-state-map(t1), def-state-map)
                 & defined?(t2) &
       def-state-map(t1).num-unav-ress = (1 + def-state-map(t1).acploss?)&
       "empty(intersect(p-schedule(act).effect-set,
                    def-state-map(t1).list-av-ress))
& (p-schedule(act).est > (t1 - p-schedule(act).duration))
     t2 <= p-schedule(act).est )
function Consistent-ac-power-prop2( p-schedule: sched,
                   def-state-map: st-hist-map)
   : boolean
  = fa (t1:integer, t2:integer, act: integer)
       (t1 in domain(def-state-map) & act in domain(p-schedule)
             & ~empty(p-schedule(act).effect-set)&
       t2 = find-time-after(t1, def-state-map(t1), def-state-map)
```

& defined?(t2) &

```
def-state-map(t1).num-unav-ress = (1 + def-state-map(t1).acploss?)&
        ~empty(intersect(p-schedule(act).effect-set,
                   def-state-map(t1).list-av-ress))
& t2 > p-schedule(act).1st
           => (p-schedule(act).lst
      <= t1 - p-schedule(act).duration))
function find-time-after(t1: time, t1-val: state-type,
                  def-state-hist-map: st-hist-map)
       : time
       = let (val = undefined)
    ti-val = def-state-hist-map(ti)
    & ti > t1
    & (defined?(val) => ti < val)
   --> val <- (val; ti);
  val
%------ Extract ------
function new-activity
  (act-i : activity) : activity
  = act-i.ft <- act-i.est + act-i.duration;</pre>
    act-i.st <- act-i.est;</pre>
    act-i
function Extract-schedule
  (ps : seq(activity)) : sched
  = [ new-activity(ps(i))
     | (i:integer)
        i in domain(ps)]
function excess-poss?
  (int : tuple(time, time)) : boolean
  = defined?(int)
%%%%%%%%%%%%%
```

```
----- Finite Difference
%%%% DEFINITE
assert DISTRIBUTE-const-def-STATE-map-OVER-EMPTY
  fa ()
      (construct-state-map-def([]) = *initial-state-map*)
assert DISTRIBUTE-const-def-STATE-map-OVER-APPEND
  fa (ps: sched, qs:sched, act: activity)
     ( construct-state-map-def(append(ps, act))
      = construct-state-map-def(ps) +*
        fd-def-app(act, ps, act.effect-set,
     act.lst, act.est + act.duration,
     construct-state-map-def(ps)))
assert DISTRIBUTE-CONST-def-STATE-map-OVER-EXTEND-EST
  fa (ps: sched, qs:sched, i:integer, n-est: time)
     ( construct-state-map-def(seq-shadow1(ps, i,
   tuple-shadow(ps(i).est,
n-est)))
       = construct-state-map-def(ps)
         +* fd-def-ext-est(i, ps, ps(i).effect-set,
ps(i).est + ps(i).duration,
n-est + ps(i).duration,
construct-state-map-def(ps)))
assert DISTRIBUTE-CONST-def-STATE-map-OVER-EXTEND-1st
  fa (ps: sched, qs:sched, i:integer, n-lst: time)
     (construct-state-map-def(seq-shadow1(ps, i,
  tuple-shadow(ps(i).1st,
       n-lst)))
       = construct-state-map-def(ps) +*
           fd-def-ext-lst(i, ps, ps(i).effect-set,
       n-1st, ps(i).1st, construct-state-map-def(ps)))
%%%% POSSIBLE
assert DISTRIBUTE-CONST-pos-STATE-map-OVER-EXTEND-EST
  fa (ps: sched, qs:sched, i:integer, n-est)
     (construct-state-map-pos
      (seq-shadow1(ps, i, tuple-shadow(ps(i).est,n-est)) )
      = construct-state-map-pos(ps)
```

```
+* fd-pos-ext-est(i, ps,
       ps(i).effect-set,
       ps(i).est,
       n-est,
       construct-state-map-pos(ps)))
assert DISTRIBUTE-CONST-pos-STATE-map-OVER-EXTEND-LST
  fa (ps: sched, qs:sched, i:integer, n-lst)
     (construct-state-map-pos
          (seq-shadow1(ps, i, tuple-shadow(ps(i).lst, n-lst)) )
      = construct-state-map-pos(ps)
        +* fd-pos-ext-lst(i, ps, ps(i).effect-set,
       n-lst + ps(i).duration ,
       ps(i).lst + ps(i).duration,
       construct-state-map-pos(ps)))
%%%%%%%%%%%%
assert DISTRIBUTE-ALL-ACTIVITIES-SCHEDULED
  fa (Acts: seq(activity), Sched: seq(activity))
     (ALL-ACTIVITIES-SCHEDULED(Acts, Sched)
        = (Included-activities(Acts) = Included-activities(Sched)))
assert DISTRIBUTE-ALL-ACTIVITIES-SCHEDULED-OVER-EMPTY-SEQ
  fa (A: seq(activity))
     (all-activities-scheduled( A ,[]) = false)
assert DISTRIBUTE-INCLUDED-ACTIVITIES-OVER-EMPTY-SEQ
  fa ()
     (Included-activities([]) = {})
assert DISTRIBUTE-INCLUDED-ACTIVITIES-OVER-CONCATENATE
 fa (S1: seq(activity), S2: seq(activity))
     (Included-activities(S1 union S2) = Included-activities(S1) union
                                      Included-activities(S2))
assert DISTRIBUTE-INCLUDED-ACTIVITIES-OVER-APPEND
 fa (S: seq(activity), A: activity)
     (Included-activities(append(S,A)) = Included-activities(S) union
                                      Included-activities([A]))
```

```
CONSISTENT-ACTIVITY-SEPARATION-EST-to-CONSISTENT-ACTIVITY-SEPARATION-EST
  fa(PS)(fa(S)(REFINES-TO(S, PS) => CONSISTENT-ACTIVITY-SEPARATION-EST(S))
 => CONSISTENT-ACTIVITY-SEPARATION-EST(PS))
assert
CONSISTENT-ACTIVITY-SEPARATION-LST-to-CONSISTENT-ACTIVITY-SEPARATION-LST
  fa(PS)( fa(S)(REFINES-TO(S, PS) => CONSISTENT-ACTIVITY-SEPARATION-LST(S))
 => CONSISTENT-ACTIVITY-SEPARATION-LST(PS))
assert get-activity-over-append-predecessors
 fa(q,e,j) get-activity(append(q, e), e.predecessors(j))
         = get-activity(q, e.predecessors(j))
%-----
THEORY-RULES
function RULE-DISTRIBUTE-const-def-STATE-map-OVER-EMPTY
  () rb-compile-simplification-equality
    DISTRIBUTE-const-def-STATE-map-OVER-EMPTY
function RULE-DISTRIBUTE-const-def-state-map-OVER-append
  () rb-compile-simplification-equality
    DISTRIBUTE-const-def-STATE-map-OVER-append
function RULE-DISTRIBUTE-const-def-STATE-map-OVER-Extend-est
  () rb-compile-simplification-equality
     DISTRIBUTE-const-def-STATE-map-OVER-Extend-est
function RULE-DISTRIBUTE-const-def-STATE-map-OVER-Extend-1st
  () rb-compile-simplification-equality
     DISTRIBUTE-const-def-STATE-map-OVER-Extend-1st
```

assert

### %possible

function RULE-DISTRIBUTE-DISTRIBUTE-CONST-pos-STATE-map-OVER-EXTEND-EST () rb-compile-simplification-equality DISTRIBUTE-const-pos-state-map-OVER-extend-est function RULE-DISTRIBUTE-DISTRIBUTE-CONST-pos-STATE-map-OVER-EXTEND-1ST () rb-compile-simplification-equality DISTRIBUTE-const-pos-state-map-OVER-extend-lst function RULE-DISTRIBUTE-ALL-ACTIVITIES-SCHEDULED-OVER-EMPTY-SEQ-REWRITE () rb-compile-simplification-equality DISTRIBUTE-ALL-ACTIVITIES-SCHEDULED-OVER-EMPTY-SEQ function RULE-DISTRIBUTE-INCLUDED-ACTIVITIES-OVER-EMPTY-SEQ-REWRITE () rb-compile-simplification-equality DISTRIBUTE-INCLUDED-ACTIVITIES-OVER-EMPTY-SEQ function RULE-DISTRIBUTE-INCLUDED-ACTIVITIES-OVER-CONCATENATE-REWRITE () rb-compile-simplification-equality DISTRIBUTE-INCLUDED-ACTIVITIES-OVER-CONCATENATE function RULE-DISTRIBUTE-INCLUDED-ACTIVITIES-OVER-APPEND-REWRITE () rb-compile-simplification-equality DISTRIBUTE-INCLUDED-ACTIVITIES-OVER-APPEND function rule-get-activity-over-append-predecessors () rb-compile-simplification-equality get-activity-over-append-predecessors *y*\_\_\_\_\_\_ THEORY-MISC-LAWS %-----

```
THEORY-MISC-DEFS
%%% % ARE THESE RULES CORRECT
```

#### **%%%%%%%**

```
function
  OUTAGE-RULE-CONSISTENT-ACTIVITY-SEPARATION-EST-TO-CONSISTENT-ACTIVITY
-SEPARATION-EST (a)
  computed-using
  a = 'fa(S0)(refines-to(@PS0,S0) => CONSISTENT-ACTIVITY-SEPARATION-EST(S0))'
  % new-a = make-structure(
   '##r RB-GRAMMAR
            (rule-instance-make UNDEFINED,
              CONSISTENT-ACTIVITY-SEPARATION-EST(@(c-t(PSO))),
      ${}, ${},
        1, OUTAGE-RULE-CONSISTENT-ACTIVITY-SEPARATION-EST-TO-
CONSISTENT-ACTIVITY-SEPARATION-EST)')
  => OUTAGE-RULE-CONSISTENT-ACTIVITY-SEPARATION-EST-TO-CONSISTENT-
ACTIVITY-SEPARATION-EST(a)
     = new-a
function
  OUTAGE-RULE-CONSISTENT-ACTIVITY-SEPARATION-LST-TO-CONSISTENT-ACTIVITY-
SEPARATION-LST (a)
  computed-using
  a = 'fa(S0)(refines-to(@PS0,S0) => CONSISTENT-ACTIVITY-SEPARATION-LST(S0))'
  & new-a = make-structure(
   '##r RB-GRAMMAR
            (rule-instance-make UNDEFINED,
              CONSISTENT-ACTIVITY-SEPARATION-LST(@(c-t(PSO))),
      ${}, ${},
      1. OUTAGE-RULE-CONSISTENT-ACTIVITY-SEPARATION-LST-TO-CONSISTENT
-ACTIVITY-SEPARATION-LST)')
  => OUTAGE-RULE-CONSISTENT-ACTIVITY-SEPARATION-LST-TO-CONSISTENT-ACTIVITY
-SEPARATION-LST(a)
     = new-a
function
  OUTAGE-RULE-Consistent-ac-power-to-Consistent-ac-power-prop1 (a)
  computed-using
  a = 'fa(S0)(refines-to(@PS0,S0) => Consistent-ac-power(S0))'
  & new-a = make-structure(
   '##r RB-GRAMMAR
             (rule-instance-make UNDEFINED,
```

```
Consistent-ac-power-prop1(@(c-t(PSO)),
 construct-state-map-def(@(c-t(PS0))),
      ${}, ${},
      1, OUTAGE-RULE-Consistent-ac-power-to-Consistent-ac-power-prop1)')
  => OUTAGE-RULE-Consistent-ac-power-to-Consistent-ac-power-prop1(a)
     = new-a
function
  OUTAGE-RULE-Consistent-ac-power-to-Consistent-ac-power-filter (a)
  computed-using
  a = 'fa(S0)(refines-to(@PS0,S0) => Consistent-ac-power(S0))'
  & new-a = make-structure(
   '##r RB-GRAMMAR
            (rule-instance-make UNDEFINED,
              Consistent-ac-power-filter(@(c-t(PSO)),
 construct-state-map-def(@(c-t(PS0)))),
      ${}, ${},
      1, OUTAGE-RULE-Consistent-ac-power-to-Consistent-ac-power-filter)')
  => OUTAGE-RULE-Consistent-ac-power-to-Consistent-ac-power-filter(a)
     = new-a
function
  OUTAGE-RULE-Consistent-ac-power-to-Consistent-ac-power-prop2 (a)
  computed-using
  a = 'fa(S0)(refines-to(@PS0,S0) => Consistent-ac-power(S0))'
  & new-a = make-structure(
   '##r RB-GRAMMAR
            (rule-instance-make UNDEFINED,
              Consistent-ac-power-prop2(@(c-t(PSO)),
 construct-state-map-def(@(c-t(PS0)))),
      ${}, ${},
      1, OUTAGE-RULE-Consistent-ac-power-to-Consistent-ac-power-prop2)')
  => OUTAGE-RULE-Consistent-ac-power-to-Consistent-ac-power-prop2(a)
     = new-a
THEORY-MISC-RULES
rule tuple-deref-seq-appl-over-get-activity-index-seq-shadow1-tuple-shadow(a)
   also {| index-on -> <'rb-simplification-rules, 'get-field> |}
 a = '@q0(get-activity-index
     (seq-shadow1(@q1, @ip1, tuple-shadow(@q2(@ip2).@fi, @@)),
      @k))
       .Qfo;
```

```
& term-equal?(q0, q1) & term-equal?(q1, q2) & term-equal?(ip1, ip2)
   & fi ~= fo
  --> a = '@q0(get-activity-index(@q1, @k)).@fo'
rule tuple-deref-over-get-activity-seq-shadow1-tuple-shadow(a)
  also {| index-on -> <'rb-simplification-rules, 'get-field> |}
  a = 'get-activity
         (seq-shadow1(@q1, @ip1, tuple-shadow(@q2(@ip2).@fi, @@)),
  0k)
       .Qfo'
   & term-equal?(q1, q2) & term-equal?(ip1, ip2)
    & fi ~= fo
  --> a = 'get-activity(@q1, @k).@fo'
rule defined?-over-get-activity-seq-shadow1-tuple-shadow(a)
   also {| index-on -> <'rb-simplification-rules, 'defined?> |}
  a = 'defined?(get-activity
(seq-shadow1(@q1, @ip1, tuple-shadow(@q2(@ip2).@@, @@)),
 @k)),
    & term-equal?(q1, q2) & term-equal?(ip1, ip2)
  --> a = 'defined?(get-activity(Qq1, Qk))'
%% Not certain that this is valid
rule get-activity-over-append-predecessors(a)
   also {| index-on -> <'rb-simplification-rules, 'get-activity> |}
  a = 'get-activity(append(@q, @e1), @e2.predecessors(@j))'
    & term-equal?(e1, e2)
  --> a = 'get-activity(@q, @e2.predecessors(@j))'
THEORY-MISC-FORMS
form remove-SOME-OP-SIMPLIFICATION-RULES
  remove-rb-simplification-rules('some,
      {'basic-boolean-theory-distribute-some-over-ordered-or-into-ex-form})
form add-SOME-OP-SIMPLIFICATION-RULES
  add-rb-simplification-rules('some,
       {'basic-boolean-theory-rule-distribute-var-definitions-in-some-op})
%% 'basic-boolean-theory-rule-distribute-var-definitions-in-some-op
form remove-member-SIMPLIFICATION-RULES
   remove-rb-simplification-rules('member,
```

```
{'seq-theory-rule-distribute-in-over-interval})
form remove-defined?-SIMPLIFICATION-RULES
  remove-rb-simplification-rules
    ('defined?, {'regroup-type-rule-definedness-of-function-parameter})
form remove-seq-theory-rule-distribute-in-over-reverse
  remove-rb-simplification-rules('member,
 {'seq-theory-rule-distribute-in-over-reverse})
form ADD-universal-FI-laws
   ADD-RB-FORWARD-IMPLICATIONS
   ('forall,
   { 'OUTAGE-RULE-CONSISTENT-ACTIVITY-SEPARATION-EST-TO-CONSISTENT-ACTIVITY
-SEPARATION-EST
    , 'OUTAGE-RULE-CONSISTENT-ACTIVITY-SEPARATION-LST-TO-CONSISTENT-ACTIVITY
-SEPARATION-LST
    , 'OUTAGE-RULE-CONSISTENT-AC-POWER-TO-CONSISTENT-AC-POWER-filter
    , 'OUTAGE-RULE-CONSISTENT-AC-POWER-TO-CONSISTENT-AC-POWER-PROP1
    , 'OUTAGE-RULE-CONSISTENT-AC-POWER-TO-CONSISTENT-AC-POWER-PROP2
    })
form ADD-AND-SIMPLIFICATION-RULES
 %% associative commutative idempotent identity fixpoint
 add-simplification-rules-for-operator('and) %;
 % add-rb-simplification-rules('and,
 %
                {'basic-boolean-theory-rule-distribute-and-over-or})
```

# Appendix D - Global Search Theories for the Outage Problem

Appendix D contains the global search theory for scheduling considering the definite period of activities.

```
form index-outage-scheduling
   gs-activities = make-binding('gs-activities)
   & full-sched = make-binding('full-sched)
   & p-sched = make-binding('p-sched)
   & unsched-acts = make-binding('unsched-acts)
   & def-state-map = make-binding('def-state-map)
   & p-sched-new = make-binding('p-sched-new)
   & def-state-map-new = make-binding('def-state-map-new)
   & unsched-acts-new = make-binding('unsched-acts-new)
   & gs-act = make-binding('gs-act)
   -->
  '##r cypress-grammar
   (Global-Search-Theory GS-OUTAGE-SCHEDULING
    input-types seq(activity)
    input-vars gs-activities
    input-condition true
    output-types seq(activity)
    output-vars full-sched
    output-condition
         all-activities-scheduled(gs-activities, full-sched)
    subspace-types
         seq(activity),
         seq(activity),
         st-hist-map
    subspace-wars
         p-sched,
         unsched-acts,
         def-state-map
    subspace-split-vars
         p-sched-new,
         unsched-acts-new,
          def-state-map-new
```

```
subspace-vars-constraint
        def-state-map = construct-state-map-def(p-sched)
       & included-activities(gs-activities) = included-activities(p-sched)
                                      union included-activities(unsched-acts)
   & disjoint(included-activities(p-sched), included-activities(unsched-acts))
       & defined?(p-sched)
    Constraint-Info-types
       activity
    Constraint-Info-vars
      gs-act
    Constraint-Info-condition
       gs-act = first(unsched-acts)
    Splitting-constraint
      p-sched-new = append(p-sched, gs-act)
      & unsched-acts-new = rest(unsched-acts)
      & def-state-map-new = construct-state-map-def(p-sched-new)
    satisfies
       refines-to(p-sched,full-sched)
    refines
       refines-to(p-sched, p-sched-new)
    initial-space
       (<[], sort-activities(gs-activities),</pre>
  *initial-state-map*>)
    extract
       full-sched = p-sched
    Extractable
       unsched-acts = []
   Splittable
       unsched-acts ~= []
    ), in gs-theories-prop(find-global('powersequence))
form index-outage-poss-scheduling
   gs-ps = make-binding('gs-ps)
   & full-sched = make-binding('full-sched)
   & p-sched = make-binding('p-sched)
   & def-state-map = make-binding('def-state-map)
  & poss-state-map = make-binding('poss-state-map)
   & p-sched-new = make-binding('p-sched-new)
  & def-state-map-new = make-binding('def-state-map-new)
   & poss-state-map-new = make-binding('poss-state-map-new)
  & gs-high-poss-interval = make-binding('gs-high-poss-interval)
  & gs-res-index = make-binding('gs-res-index)
   & gs-split-time = make-binding('gs-split-time)
  & gs-switch = make-binding('gs-switch)
```

Global search theory for time window refinement that takes into consideration the potential period of activities.

```
'##r cypress-grammar
(Global-Search-Theory GS-OUTAGE-poss-SCHEDULING-1
 input-types seq(activity)
 input-vars gs-ps
 input-condition true
 output-types seq(activity)
 output-vars full-sched
 output-condition
       all-activities-scheduled(gs-ps, full-sched)
  subspace-types
       seq(activity),
       st-hist-map,
       st-hist-map
  subspace-vars
       p-sched,
       def-state-map,
      poss-state-map
  subspace-split-vars
       p-sched-new,
       def-state-map-new,
       poss-state-map-new
  subspace-vars-constraint
      def-state-map = construct-state-map-def(p-sched)
      & poss-state-map = construct-state-map-pos(p-sched)
  Constraint-Info-types
     tuple(time, time), integer, time, integer
  Constraint-Info-vars
     gs-high-poss-interval,
                  computed
     % gs-res,
     gs-res-index, gs-split-time,
     gs-switch
  Constraint-Info-condition
   gs-high-poss-interval
       = longest-highest-poss-excess-interval(poss-state-map)
```

```
& gs-res-index
        = maximally-poss-act-in-poss-interval
    (p-sched, gs-high-poss-interval.1,gs-high-poss-interval.2,
                       poss-state-map(gs-high-poss-interval.1).unav-res-map)
      & gs-split-time
        = poss-interval-split-time(p-sched(gs-res-index),
   gs-high-poss-interval.1,
   gs-high-poss-interval.2)
      & defined?(gs-split-time)
      & gs-switch in [0, 1] % 1 causes things to be scheduled early
      & (gs-switch = 0)
   & gs-split-time ~= p-sched(gs-res-index).lst
 gs-switch = 1
           & 1 + gs-split-time ~= p-sched(gs-res-index).est)
   Splitting-constraint
     if gs-switch = 0
     then p-sched-new
            = seq-shadow1(p-sched,
gs-res-index,
tuple-shadow(p-sched(gs-res-index).lst,
      gs-split-time))
       & def-state-map-new = construct-state-map-def(p-sched-new)
       & poss-state-map-new = construct-state-map-pos(p-sched-new)
     else
     p-sched-new
       = seq-shadow1(p-sched,
     gs-res-index,
    tuple-shadow(p-sched(gs-res-index).est,
  1 + gs-split-time))
      & def-state-map-new = construct-state-map-def(p-sched-new)
      & poss-state-map-new = construct-state-map-pos(p-sched-new)
  satisfies
     refines-to(p-sched,full-sched)
  refines
     refines-to(p-sched, p-sched-new)
  initial-space
      (<gs-ps, construct-state-map-def(gs-ps),
           construct-state-map-pos(gs-ps) >)
  extract
     full-sched = extract-schedule(p-sched)
  Extractable
      ~excess-poss?
          (longest-highest-poss-excess-interval(poss-state-map))
```

```
Splittable
  excess-poss?
          (longest-highest-poss-excess-interval(poss-state-map))
) in gs-theories-prop(find-global('powersequence))
```

# **MISSION**

# **OF**

# ROME LABORATORY

Mission. The mission of Rome Laboratory is to advance the science and technologies of command, control, communications and intelligence and to transition them into systems to meet customer needs. To achieve this, Rome Lab:

- a. Conducts vigorous research, development and test programs in all applicable technologies;
- b. Transitions technology to current and future systems to improve operational capability, readiness, and supportability;
- c. Provides a full range of technical support to Air Force Materiel Command product centers and other Air Force organizations;
  - d. Promotes transfer of technology to the private sector;
- e. Maintains leading edge technological expertise in the areas of surveillance, communications, command and control, intelligence, reliability science, electro-magnetic technology, photonics, signal processing, and computational science.

The thrust areas of technical competence include: Surveillance, Communications, Command and Control, Intelligence, Signal Processing, Computer Science and Technology, Electromagnetic Technology, Photonics and Reliability Sciences.